## \*\* PUBLIC DISCLOSURE COPY \*\*

**Return of Organization Exempt From Income Tax** 

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Department of the Treasury Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public. ► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public

| A F                  | or the 2                    | 2020 calendar year, or tax year beginning and e   | ending        |                                     |                               |  |  |  |
|----------------------|-----------------------------|---|---------------|-------------------------------------|-------------------------------|--|--|--|
| <b>B</b> c           | heck if oplicable:          | C Name of organization  AMERICAN INDIAN SCIENCE AND   |               | D Employer identifie                | cation number                 |  |  |  |
| X                    | Address                     | ENGINEERING SOCIETY   |               |                                     |                               |  |  |  |
|                      | Name<br>change              | Doing business as   |               | 73-10234                            | 74                            |  |  |  |
|                      | Initial                     |   | Room/suite    | E Telephone number                  |                               |  |  |  |
|                      | return<br>Final<br>return/  | 6321 RIVERSIDE PLAZA LN NW, UNIT A  | NUUIII/SUILE  | 505-765-                            | 1052                          |  |  |  |
|                      | termin-<br>ated             | City or town, state or province, country, and ZIP or foreign postal code                                |               | G Gross receipts \$ 5,726,629.      |                               |  |  |  |
|                      | Amended                     | ALBOQUERQUE, NM 8/120   |               | H(a) Is this a group re             |                               |  |  |  |
|                      | Applica-<br>tion<br>pending | F Name and address of principal officer: SARAH ECHOHAWK   |               | for subordinates                    | ? Yes X No                    |  |  |  |
|                      |                             | SAME AS C ABOVE   |               | <b>H(b)</b> Are all subordinates in | cluded? Yes No                |  |  |  |
|                      |                             | npt status: $X$ 501(c)(3) 501(c) ( ) $\checkmark$ (insert no.) 4947(a)(1) of                            | r 527         | If "No," attach a                   | list. See instructions        |  |  |  |
|                      |                             | · ► WWWW.AISES.ORG  |               | H(c) Group exemptio                 |                               |  |  |  |
|                      |                             | rganization: X Corporation Trust Association Other Summary  | <b>L</b> Year | of formation: 1977  N               | 1 State of legal domicile: NM |  |  |  |
|                      | <b>1</b> B                  | riefly describe the organization's mission or most significant activities: $$ SEE $$ S                  | CHEDU         | LE O                                |                               |  |  |  |
| Governance           |                             | ,   |               |                                     |                               |  |  |  |
| nar                  | <b>2</b> C                  | heck this box if the organization discontinued its operations or dispose                                | ed of more    | than 25% of its net ass             | sets.                         |  |  |  |
| Ver                  |                             |   |               | 3                                   | 12                            |  |  |  |
| ဗွ                   |                             | umber of independent voting members of the governing body (Part VI, line 1b)                            |               |                                     | 12                            |  |  |  |
|                      |                             | otal number of individuals employed in calendar year 2020 (Part V, line 2a)                             |               |                                     | 40                            |  |  |  |
| ij                   |                             | otal number of volunteers (estimate if necessary)   |               |                                     | 100                           |  |  |  |
| Activities &         |                             | otal unrelated business revenue from Part VIII, column (C), line 12                                     |               |                                     | 0.                            |  |  |  |
| ¥                    |                             | et unrelated business taxable income from Form 990-T, Part I, line 11                                   |               |                                     | 0.                            |  |  |  |
|                      | 211                         | or annotated business taxable moonle nonresim ood 1,1 art 1, mile 11                                    |               | Prior Year                          | Current Year                  |  |  |  |
|                      | <b>8</b> C                  | ontributions and grants (Part VIII, line 1h)  |               | 4,804,543.                          | 4,797,399.                    |  |  |  |
| щe                   |                             |   |               | 764,927.                            | 758,406.                      |  |  |  |
| Revenue              |                             | rogram service revenue (Part VIII, line 2g) vestment income (Part VIII, column (A), lines 3, 4, and 7d) |               | 14,769.                             | 1,809.                        |  |  |  |
| Be                   |                             | ther revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)                                 |               | 90,346.                             | 159,448.                      |  |  |  |
|                      |                             | otal revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)                       |               | 5,674,585.                          | 5,717,062.                    |  |  |  |
| _                    |                             | rants and similar amounts paid (Part IX, column (A), lines 1-3)   |               | 578,000.                            | 947,800.                      |  |  |  |
|                      |                             |   |               | 0.                                  | 0.                            |  |  |  |
|                      |                             | enefits paid to or for members (Part IX, column (A), line 4)  |               | 1,965,897.                          | 2,431,834.                    |  |  |  |
| Expenses             |                             |   |               | 0.                                  | 0.                            |  |  |  |
| ë                    | IOA PI                      | rofessional fundraising fees (Part IX, column (A), line 11e)  |               | 0.                                  | 0.                            |  |  |  |
| х                    |                             |   |               | 3,273,661.                          | 2,316,959.                    |  |  |  |
|                      |                             | ther expenses (Part IX, column (A), lines 11a-11d, 11f-24e)   |               | 5,817,558.                          | 5,696,593.                    |  |  |  |
|                      |                             | otal expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)                                |               | -142,973.                           | 20,469.                       |  |  |  |
|                      |                             | evenue less expenses. Subtract line 18 from line 12   |               |                                     |                               |  |  |  |
| Assets or d Balances | 00 T                        | (D ) (  | Re            | ginning of Current Year 2,054,685.  | End of Year<br>1,953,065.     |  |  |  |
| sse.<br>Bala         | 20 To                       | otal assets (Part X, line 16)   |               | 788,773.                            | 742,090.                      |  |  |  |
| Net A<br>Fund        | 21 To                       | otal liabilities (Part X, line 26)  |               | 1,265,912.                          | 1,210,975.                    |  |  |  |
|                      |                             | et assets or fund balances. Subtract line 21 from line 20   |               | 1,200,912.                          | 1,210,975.                    |  |  |  |
|                      |                             | es of perjury, I declare that I have examined this return, including accompanying schedules             | and atatama   | nto and to the heat of my           | knowledge and balief it is    |  |  |  |
|                      | •                           |   |               |                                     | knowledge and beller, it is   |  |  |  |
| true,                | correct,                    | and complete. Declaration of preparer (other than officer) is based on all information of whi           | cii preparei  | 11/8/21                             |                               |  |  |  |
| ٥.                   |                             | Signature of officer  |               | Date                                |                               |  |  |  |
| Sigr                 | ١.                          | $\mathcal{L}(a)a(1) = \mathcal{L}(a)a(1)$   |               | Buto                                |                               |  |  |  |
| Her                  | e                           | SARAH ECHOHAWK, CEO Type or print name and title  |               |                                     |                               |  |  |  |
|                      | <u> </u>                    |   | Ιr            | Date Check                          | PTIN                          |  |  |  |
| р                    |                             | Print/Type preparer's name Preparer's signature   |               | if                                  |                               |  |  |  |
| Paid                 |                             | TEPHEN LIVINGSTON STEPHEN LIVINGST  | ОИ Т          | 1/04/21 self-employ                 |                               |  |  |  |
| Prep                 |                             | CLIFTONLARSONALLEN LLP  | E             | Firm's EIN ▶                        | 41-0746749                    |  |  |  |
| Use                  | UNIY   F                    | Firm's address 6501 AMERICAS PARKWAY NE, SUITE  | 500           |                                     | E 040 0000                    |  |  |  |
| _                    | L                           | ALBUQUERQUE, NM 87110   |               | Phone no. 50                        | 5-842-8290                    |  |  |  |
| May                  | the IRS                     | discuss this return with the preparer shown above? See instructions                                     |               |                                     | X Yes No                      |  |  |  |

| Pai | Check if Schedule O contains a response or note to any line in this Part III   |
|-----|--|
| 1   | Check if Schedule O contains a response or note to any line in this Part III   |
| •   | TO PROMOTE EDUCATIONAL AND CAREER OPPORTUNITIES FOR NATIVE AMERICAN  |
|     | YOUTH IN THE FIELDS OF SCIENCE AND ENGINEERING.  |
|     |  |
|     |  |
| 2   | Did the organization undertake any significant program services during the year which were not listed on the   |
|     | prior Form 990 or 990-EZ?  |
|     | If "Yes," describe these new services on Schedule O.   |
| 3   | Did the organization cease conducting, or make significant changes in how it conducts, any program services?   |
| 4   | If "Yes," describe these changes on Schedule O.  |
| 4   | Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.  Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and |
|     | revenue, if any, for each program service reported.  |
| 4a  | (Code:) (Expenses \$1, 131, 210 •including grants of \$) (Revenue \$)  |
|     | PRE-COLLEGE PROGRAMS - THE FOCUS OF AISES'S PRE-COLLEGE PROGRAMS IS TO   |
|     | BUILD AWARENESS AND INCREASE RETENTION IN K-12 STEM BY PROVIDING   |
|     | INDIGENOUS K-12 STUDENTS, PARENTS, AND EDUCATORS EXPOSURE AND ACCESS TO  |
|     | QUALITY CURRICULUM, PROGRAMS, AND OPPORTUNITIES TO EXPOSE, INTEREST,   |
|     | AND ENGAGE THEM IN STEM DISCIPLINES.   |
|     |  |
|     |  |
|     |  |
|     |  |
|     |  |
|     |  |
| 4b  | (Code:) (Expenses \$1,024,743. including grants of \$) (Revenue \$)  |
|     | STRATEGIC INITIATIVES AND RESEARCH - THE FOCUS OF AISES' STRATEGIC   |
|     | INITIATIVES AND RESEARCH IS TO IDENTIFY AND LEVERAGE STRATEGIC   |
|     | PARTNERSHIPS AND TO CONDUCT RESEARCH IN STEM ISSUES SPECIFIC TO NATIVE PEOPLE. THROUGH RESEARCH, DATA COLLECTION, AND PARTNERSHIPS WITH OTHER  |
|     | KEY STEM STAKEHOLDERS, AISES SEEKS TO IDENTIFY THE CHALLENGES AND  |
|     | SUCCESSES FOR NATIVE PEOPLE IN STEM EDUCATION AND WORKFORCE  |
|     | DEVELOPMENT.   |
|     |  |
|     |  |
|     |  |
|     |  |
|     | (Code: ) (Expenses \$ 978,779 . including grants of \$ 947,800 . ) (Revenue \$ 103,924 . )   |
| 4c  | (Code:) (Expenses \$978,779 our including grants of \$947,800 our ) (Revenue \$103,924 our ) SCHOLARSHIPS - SEE SCHEDULE O.  |
|     | Benefit Benefit C.   |
|     |  |
|     |  |
|     |  |
|     |  |
|     |  |
|     |  |
|     |  |
|     |  |
|     |  |
| 4d  | Other program services (Describe on Schedule O.)   |
|     | (Expenses \$ 1,648,443 • including grants of \$ ) (Revenue \$ 813,930 • )  |
| 4e  | Total program service expenses ► 4 , 783 , 175 .   |
|     | Form <b>990</b> (2020)   |

# Part IV Checklist of Required Schedules

|     |  |            | Yes | No               |
|-----|--|------------|-----|------------------|
| 1   | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?  |            |     |                  |
|     | If "Yes," complete Schedule A  | 1          | X   |                  |
| 2   | Is the organization required to complete Schedule B, Schedule of Contributors?   | 2          | Х   |                  |
| 3   | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for            |            |     |                  |
|     | public office? If "Yes," complete Schedule C, Part I   | 3          |     | X                |
| 4   | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect           |            |     |                  |
|     | during the tax year? If "Yes," complete Schedule C, Part II  | 4          |     | Х                |
| 5   | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or               |            |     |                  |
|     | similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III   | 5          |     | Х                |
| 6   | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to                  |            |     |                  |
|     | provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I               | 6          |     | X                |
| 7   | Did the organization receive or hold a conservation easement, including easements to preserve open space,                                  | _ <u> </u> |     |                  |
| •   | the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II                                       | 7          |     | X                |
| 8   | Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If</i> "Yes," <i>complete</i> | <b>-</b>   |     |                  |
| 0   | , ,  |            |     | X                |
| ^   | Schedule D, Part III   | 8          |     |                  |
| 9   | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for              |            |     |                  |
|     | amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?                  |            |     | <b> </b> ₩       |
|     | If "Yes," complete Schedule D, Part IV   | 9          |     | X                |
| 10  | Did the organization, directly or through a related organization, hold assets in donor-restricted endowments                               |            | 37  |                  |
|     | or in quasi endowments? If "Yes," complete Schedule D, Part V  | 10         | X   |                  |
| 11  | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X           |            |     |                  |
|     | as applicable.   |            |     |                  |
| а   | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,                |            |     |                  |
|     | Part VI  | 11a        | X   |                  |
| b   | Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total               |            |     |                  |
|     | assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII  | 11b        |     | X                |
| С   | Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total                |            |     |                  |
|     | assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII   | 11c        |     | X                |
| d   | Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in              |            |     |                  |
|     | Part X, line 16? If "Yes," complete Schedule D, Part IX  | 11d        |     | X                |
| е   | Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X                      | 11e        | Х   |                  |
| f   | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses                    |            |     |                  |
|     | the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X                     | 11f        | X   |                  |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete                        |            |     |                  |
|     | Schedule D, Parts XI and XII   | 12a        |     | X                |
| b   | Was the organization included in consolidated, independent audited financial statements for the tax year?                                  |            |     |                  |
|     | If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional                      | 12b        | Х   |                  |
| 13  | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E  | 13         |     | Х                |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States?  | 14a        |     | Х                |
| b   | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,                    |            |     |                  |
|     | investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000                 |            |     |                  |
|     | or more? If "Yes," complete Schedule F, Parts I and IV   | 14b        |     | Х                |
| 15  | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any                  |            |     |                  |
|     | foreign organization? If "Yes," complete Schedule F, Parts II and IV   | 15         |     | Х                |
| 16  | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to                   |            |     |                  |
|     | or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV  | 16         |     | x                |
| 17  | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,                    |            |     | <del></del>      |
| ••  | column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I   | 17         |     | X                |
| 18  | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines               | <b>–</b> " |     | <del></del>      |
| .0  |  | 18         |     | x                |
| 10  | 1c and 8a? If "Yes," complete Schedule G, Part II  | <b>⊢</b> ° |     | <del>  ^</del> ` |
| 19  | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"                     | 4.         |     | v                |
| 00- | complete Schedule G, Part III  | 19         |     | X                |
| 20a | Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H  | 20a        |     | ├^               |
| b   | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?                               | 20b        |     |                  |
| 21  | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or                                |            |     | <sub>v</sub>     |
|     | domestic government on Part IX, column (A), line 1? If "Yes." complete Schedule I. Parts I and II  | 21         |     | X                |

032003 12-23-20

Form **990** (2020)

Part IV Checklist of Required Schedules (continued)

|        |   |      | Yes        | No         |
|--------|---|------|------------|------------|
| 22     | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on                   |      |            |            |
|        | Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III   | 22   | Х          |            |
| 23     | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current      |      |            |            |
|        | and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete                  |      |            |            |
|        | Schedule J  | 23   | Х          |            |
| 24a    | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the         |      |            |            |
|        | last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete              |      |            |            |
|        | Schedule K. If "No," go to line 25a   | 24a  |            | Х          |
| b      | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?                               | 24b  |            |            |
| С      | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease            |      |            |            |
|        | any tax-exempt bonds?   | 24c  |            |            |
| d      | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?                         | 24d  |            |            |
| 25a    | Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit                    |      |            |            |
|        | transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I                                   | 25a  |            | X          |
| b      | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and      |      |            |            |
|        | that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete           |      |            |            |
|        | Schedule L, Part I  | 25b  |            | X          |
| 26     | Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current                 |      |            |            |
|        | or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%                         |      |            |            |
|        | controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II                              | 26   |            | X          |
| 27     | Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee,     |      |            |            |
|        | creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled     |      |            |            |
|        | entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III        | 27   |            | X          |
| 28     | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV               |      |            |            |
|        | instructions, for applicable filing thresholds, conditions, and exceptions):  |      |            |            |
| а      | A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If                |      |            |            |
|        | "Yes," complete Schedule L, Part IV   | 28a  |            | <u>X</u>   |
|        | A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV                                 | 28b  |            | X          |
| С      | A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If                       |      |            | \ <b>.</b> |
|        | "Yes," complete Schedule L, Part IV   | 28c  |            | X          |
| 29     | Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M                        | 29   |            | _X_        |
| 30     | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation     |      |            |            |
| 0.4    | contributions? If "Yes," complete Schedule M  | 30   |            | X          |
| 31     | Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I              | 31   |            |            |
| 32     | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete                | 32   |            | x          |
| 22     | Schedule N, Part II  Did the organization own 100% of an entity disregarded as separate from the organization under Regulations | 32   |            |            |
| 33     |   | 33   |            | х          |
| 24     | sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I   | 33   |            |            |
| 34     | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and       | 34   | Х          |            |
| 35.2   | Part V, line 1  Did the organization have a controlled entity within the meaning of section 512(b)(13)?                         | 35a  | X          |            |
|        | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity       | 000  |            |            |
| ~      | within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2   | 35b  |            | х          |
| 36     | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?      |      |            |            |
| 25     | If "Yes," complete Schedule R, Part V, line 2   | 36   |            | х          |
| 37     | Did the organization conduct more than 5% of its activities through an entity that is not a related organization                |      |            |            |
|        | and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI                    | 37   |            | Х          |
| 38     | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?                  |      |            |            |
|        | Note: All Form 990 filers are required to complete Schedule O   | 38   | Х          |            |
| Pai    |   |      |            |            |
|        | Check if Schedule O contains a response or note to any line in this Part V  |      |            | Ш          |
|        |   |      | Yes        | No         |
|        | Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable  |      |            |            |
|        | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable   |      |            |            |
| С      | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming              |      | 7.7        |            |
|        | (gambling) winnings to prize winners?   | 1c   | N<br>OOU   | (0000)     |
| 032004 | l 12-23-20  | ⊢orm | <b>330</b> | (2020)     |

# AMERICAN INDIAN SCIENCE AND

ENGINEERING SOCIETY 73-1023474 Page 5

| Par    | t V Statements Regarding Other IRS Filings and Tax Compliance (continued)   |                   |      |     |                             |  |  |  |  |  |
|--------|---|-------------------|------|-----|-----------------------------|--|--|--|--|--|
|        |   |                   |      | Yes | No                          |  |  |  |  |  |
| 2a     | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,   | ſ                 |      |     |                             |  |  |  |  |  |
|        | filed for the calendar year ending with or within the year covered by this return 2a  | 40                |      |     |                             |  |  |  |  |  |
| b      | If at least one is reported on line 2a, did the organization file all required federal employment tax returns?  |                   | 2b   | Х   |                             |  |  |  |  |  |
|        | <b>Note:</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)  | Г                 |      |     |                             |  |  |  |  |  |
| За     | Did the organization have unrelated business gross income of \$1,000 or more during the year?   |                   | За   |     | Х                           |  |  |  |  |  |
| b      | If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O   | Г                 | 3b   |     |                             |  |  |  |  |  |
|        | At any time during the calendar year, did the organization have an interest in, or a signature or other authority or  |                   |      |     |                             |  |  |  |  |  |
|        | financial account in a foreign country (such as a bank account, securities account, or other financial account)?  |                   | 4a   |     | X                           |  |  |  |  |  |
| b      | If "Yes," enter the name of the foreign country   |                   |      |     |                             |  |  |  |  |  |
|        | See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (F  | BAR).             |      |     |                             |  |  |  |  |  |
| 5a     | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?   |                   | 5a   |     | X                           |  |  |  |  |  |
| b      | <b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?   |                   |      |     |                             |  |  |  |  |  |
|        | If "Yes" to line 5a or 5b, did the organization file Form 8886-T?   |                   | 5c   |     |                             |  |  |  |  |  |
| 6a     | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization   | tion solicit      |      |     |                             |  |  |  |  |  |
|        | any contributions that were not tax deductible as charitable contributions?   |                   | 6a   |     | <u> </u>                    |  |  |  |  |  |
| b      | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts  | 3                 |      |     |                             |  |  |  |  |  |
|        | were not tax deductible?  |                   | 6b   |     |                             |  |  |  |  |  |
| 7      | Organizations that may receive deductible contributions under section 170(c).   |                   |      |     | 77                          |  |  |  |  |  |
| a      | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provices provided to the contribution and partly for goods and services provided to the contribution and services provided to the contribution and services provid | led to the payor? | 7a   |     | X                           |  |  |  |  |  |
|        | If "Yes," did the organization notify the donor of the value of the goods or services provided?   |                   | 7b   |     |                             |  |  |  |  |  |
| С      | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required   | I                 | 7.   |     | x                           |  |  |  |  |  |
| a      | to file Form 8282?  If "Yes," indicate the number of Forms 8282 filed during the year  7d   |                   | 7c   |     | $\stackrel{\Delta}{\vdash}$ |  |  |  |  |  |
| d      | d If "Yes," indicate the number of Forms 8282 filed during the year  Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  |                   |      |     |                             |  |  |  |  |  |
| f      | f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  |                   |      |     |                             |  |  |  |  |  |
| ,<br>, | g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?  |                   |      |     |                             |  |  |  |  |  |
| 9<br>h | h If the organization received a contribution of qualified intellectual property, and the organization file a Form 1098-C?  |                   |      |     |                             |  |  |  |  |  |
| 8      |   |                   |      |     |                             |  |  |  |  |  |
| _      | sponsoring organization have excess business holdings at any time during the year?  |                   |      |     |                             |  |  |  |  |  |
| 9      |   |                   |      |     |                             |  |  |  |  |  |
| а      | Did the sponsoring organization make any taxable distributions under section 4966?  |                   | 9a   |     |                             |  |  |  |  |  |
| b      | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?   |                   | 9b   |     |                             |  |  |  |  |  |
| 10     | Section 501(c)(7) organizations. Enter:   |                   |      |     |                             |  |  |  |  |  |
| а      | Initiation fees and capital contributions included on Part VIII, line 12  |                   |      |     |                             |  |  |  |  |  |
| b      | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities   |                   |      |     |                             |  |  |  |  |  |
| 11     | Section 501(c)(12) organizations. Enter:  |                   |      |     |                             |  |  |  |  |  |
| а      | Gross income from members or shareholders   |                   |      |     |                             |  |  |  |  |  |
| b      | Gross income from other sources (Do not net amounts due or paid to other sources against  |                   |      |     |                             |  |  |  |  |  |
|        | amounts due or received from them.)   |                   |      |     |                             |  |  |  |  |  |
|        | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?  | ,                 | 12a  |     |                             |  |  |  |  |  |
|        | If "Yes," enter the amount of tax-exempt interest received or accrued during the year   |                   |      |     |                             |  |  |  |  |  |
| 13     | Section 501(c)(29) qualified nonprofit health insurance issuers.  | -                 | 10-  |     |                             |  |  |  |  |  |
| а      | Is the organization licensed to issue qualified health plans in more than one state?  |                   | 13a  |     |                             |  |  |  |  |  |
| h      | Note: See the instructions for additional information the organization must report on Schedule O.   |                   |      |     |                             |  |  |  |  |  |
| b      | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans   |                   |      |     |                             |  |  |  |  |  |
| С      | Enter the amount of reserves on hand  |                   |      |     |                             |  |  |  |  |  |
| 14a    | Did the organization receive any payments for indoor tanning services during the tax year?  |                   | 14a  |     | Х                           |  |  |  |  |  |
|        | If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O   |                   | 14b  |     |                             |  |  |  |  |  |
| 15     | Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or   |                   |      |     |                             |  |  |  |  |  |
| -      | excess parachute payment(s) during the year?  |                   | 15   |     | х                           |  |  |  |  |  |
|        | If "Yes," see instructions and file Form 4720, Schedule N.  |                   |      |     |                             |  |  |  |  |  |
| 16     | Is the organization an educational institution subject to the section 4968 excise tax on net investment income?   |                   | 16   |     | Х                           |  |  |  |  |  |
|        | If "Yes," complete Form 4720, Schedule O.   |                   |      |     |                             |  |  |  |  |  |
|        | <u> </u>  |                   | Form | 990 | (2020)                      |  |  |  |  |  |

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions

|        | Check if Schedule O contains a response or note to any line in this Part VI   |          |      | X        |  |  |  |  |  |  |
|--------|---|----------|------|----------|--|--|--|--|--|--|
| Sec    | tion A. Governing Body and Management   |          |      | 21       |  |  |  |  |  |  |
|        | tion / it do to mining body and management  |          | Voc  | No       |  |  |  |  |  |  |
| 19     | Enter the number of voting members of the governing body at the end of the tax year 12  |          | 163  | 140      |  |  |  |  |  |  |
| ıu     | If there are material differences in voting rights among members of the governing body, or if the governing   | 1        |      |          |  |  |  |  |  |  |
|        | body delegated broad authority to an executive committee or similar committee, explain on Schedule O.   |          |      |          |  |  |  |  |  |  |
| b      | Enter the number of voting members included on line 1a, above, who are independent 1b 12  |          |      |          |  |  |  |  |  |  |
| 2      | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other                                      | 1        |      |          |  |  |  |  |  |  |
| _      | officer, director, trustee, or key employee?  | 2        | Х    |          |  |  |  |  |  |  |
| 3      | Did the organization delegate control over management duties customarily performed by or under the direct supervision   |          |      |          |  |  |  |  |  |  |
|        | of officers, directors, trustees, or key employees to a management company or other person?   | 3        |      | X        |  |  |  |  |  |  |
| 4      | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?  | 4        |      | X        |  |  |  |  |  |  |
| 5      | Did the organization become aware during the year of a significant diversion of the organization's assets?  | 5        |      | X        |  |  |  |  |  |  |
| 6      | Did the organization have members or stockholders?  | 6        | Х    |          |  |  |  |  |  |  |
| 7a     | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or  |          |      |          |  |  |  |  |  |  |
|        | more members of the governing body?   | 7a       | X    |          |  |  |  |  |  |  |
| b      | <b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or                                   |          |      |          |  |  |  |  |  |  |
|        | persons other than the governing body?  | 7b       | Х    |          |  |  |  |  |  |  |
| 8      | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:                             |          |      |          |  |  |  |  |  |  |
| а      | The governing body?   | 8a       | Х    |          |  |  |  |  |  |  |
| b      | Each committee with authority to act on behalf of the governing body?   | 8b       | X    |          |  |  |  |  |  |  |
| 9      | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the  |          |      |          |  |  |  |  |  |  |
|        | organization's mailing address? If "Yes." provide the names and addresses on Schedule O   | 9        |      | X        |  |  |  |  |  |  |
| Sec    | tion B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)  |          |      |          |  |  |  |  |  |  |
|        |   |          | Yes  | No       |  |  |  |  |  |  |
| 10a    | Did the organization have local chapters, branches, or affiliates?  | 10a      | X    |          |  |  |  |  |  |  |
| b      | <b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates,                           |          |      |          |  |  |  |  |  |  |
|        | and branches to ensure their operations are consistent with the organization's exempt purposes?   |          |      |          |  |  |  |  |  |  |
| 11a    |   |          |      |          |  |  |  |  |  |  |
| b      | 1 7 37  |          |      |          |  |  |  |  |  |  |
| 12a    | Did the organization have a written conflict of interest policy? If "No," go to line 13   | 12a      | X    |          |  |  |  |  |  |  |
| b      | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?                           | 12b      | Х    |          |  |  |  |  |  |  |
| С      | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe  |          | 37   |          |  |  |  |  |  |  |
|        | in Schedule O how this was done   | 12c      | X    |          |  |  |  |  |  |  |
| 13     | Did the organization have a written whistleblower policy?   | 13       | X    |          |  |  |  |  |  |  |
| 14     | Did the organization have a written document retention and destruction policy?  | 14       | X    |          |  |  |  |  |  |  |
| 15     | Did the process for determining compensation of the following persons include a review and approval by independent  |          |      |          |  |  |  |  |  |  |
|        | persons, comparability data, and contemporaneous substantiation of the deliberation and decision?   | 45-      | v    |          |  |  |  |  |  |  |
|        | The organization's CEO, Executive Director, or top management official  | 15a      | X    |          |  |  |  |  |  |  |
| a      | Other officers or key employees of the organization   | 15b      | Λ    |          |  |  |  |  |  |  |
| 160    | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).   |          |      |          |  |  |  |  |  |  |
| 104    | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?         | 16a      |      | Х        |  |  |  |  |  |  |
| h      | taxable entity during the year?  If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation | ioa      |      |          |  |  |  |  |  |  |
| b      | in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's  |          |      |          |  |  |  |  |  |  |
|        | exempt status with respect to such arrangements?  | 16b      |      |          |  |  |  |  |  |  |
| Sec    | tion C. Disclosure  | 1.00     |      | <u> </u> |  |  |  |  |  |  |
| 17     | List the states with which a copy of this Form 990 is required to be filed NM, AK, AL, AR, CA, CO, CT, DC, FL   | , GA     | HI,  | IL       |  |  |  |  |  |  |
| 18     | Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(3)                               |          |      |          |  |  |  |  |  |  |
| -      | for public inspection. Indicate how you made these available. Check all that apply.   |          |      |          |  |  |  |  |  |  |
|        | X Own website Another's website X Upon request Other (explain on Schedule O)  |          |      |          |  |  |  |  |  |  |
| 19     | Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and                               | d financ | cial |          |  |  |  |  |  |  |
|        | statements available to the public during the tax year.   | •        |      |          |  |  |  |  |  |  |
| 20     | State the name, address, and telephone number of the person who possesses the organization's books and records  |          |      |          |  |  |  |  |  |  |
|        | SARAH ECHOHAWK - 505-765-1052   |          |      |          |  |  |  |  |  |  |
|        | 6321 RIVERSIDE PLAZA LN NW, UNIT A, ALBUQUERQUE, NM 87120   |          |      |          |  |  |  |  |  |  |
| 032006 | SEE SCHEDULE O FOR FULL LIST OF STATES  | Form     | 990  | (2020)   |  |  |  |  |  |  |

# Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

| (A)                           | (B)               | (C)                           |                       |         |              | (D)                             | (E)    | (F)             |  |                       |
|-------------------------------|-------------------|-------------------------------|-----------------------|---------|--------------|---------------------------------|--------|-----------------|--|-----------------------|
| Name and title                | Average           | (do                           |                       | Posi    |              | l<br>than d                     | nne    | Reportable      | Reportable                               | Estimated             |
|                               | hours per         | box                           | , unles               | ss per  | son i        | s both                          | an     | compensation    | compensation                             | amount of             |
|                               | week<br>(list any | officer and a dire            |                       |         |              | 174140                          |        | from<br>the     | from related organizations               | other                 |
|                               | hours for         | direct                        |                       |         |              | _                               |        | organization    | (W-2/1099-MISC)                          | compensation from the |
|                               | related           | ee or                         | stee                  |         |              | nsate                           |        | (W-2/1099-MISC) | (** =/ ********************************* | organization          |
|                               | organizations     | ll trus                       | nal tru               |         | loyee        | om pe                           |        |                 |  | and related           |
|                               | below             | ndividual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated<br>employee | Former |                 |  | organizations         |
| (1) SARAH ECHOHAWK            | 50.00             | ᆵ                             | lus                   | #0      | .e           | 를 를                             | For    |                 |  |                       |
| CHIEF EXECUTIVE OFFICER       | 30.00             | -                             |                       | х       |              |                                 |        | 231,496.        | 0.                                       | 11,457.               |
| (2) AMY WEINSTEIN             | 45.00             |                               |                       | Λ       |              |                                 |        | 231,490.        | 0.                                       | 11,43/•               |
| CHIEF OPERATING OFFICER       | 43.00             |                               |                       |         |              | x                               |        | 119,491.        | 0.                                       | 14,255.               |
| (3) WILLIAM MCINTYRE          | 45.00             |                               |                       |         |              |                                 |        | 113/1310        | •  | 11,233                |
| CHIEF FINANCIAL OFFICER       |                   | •                             |                       | х       |              |                                 |        | 109,008.        | 0.                                       | 13,744.               |
| (4) GARY BURNETTE             | 3.00              |                               |                       |         |              |                                 |        |                 |  |                       |
| CHAIR                         |                   | Х                             |                       | Х       |              |                                 |        | 0.              | 0.                                       | 0.                    |
| (5) MICHAEL LAVERDURE         | 3.00              |                               |                       |         |              |                                 |        |                 |  |                       |
| VICE CHAIR                    |                   | Х                             |                       | Х       |              |                                 |        | 0.              | 0.                                       | 0.                    |
| (6) AMBER FINLEY              | 3.00              |                               |                       |         |              |                                 |        |                 |  |                       |
| SECRETARY                     |                   | Х                             |                       | Х       |              |                                 |        | 0.              | 0.                                       | 0.                    |
| (7) GRACE BULLTAIL            | 3.00              |                               |                       |         |              |                                 |        |                 |  |                       |
| TREASURER                     |                   | Х                             |                       | Х       |              |                                 |        | 0.              | 0.                                       | 0.                    |
| (8) KRISTINA HALONA           | 3.00              |                               |                       |         |              |                                 |        |                 |  |                       |
| DIRECTOR                      |                   | Х                             |                       |         |              |                                 |        | 0.              | 0.                                       | 0.                    |
| (9) DR. ADRIENNE LAVERDURE    | 3.00              |                               |                       |         |              |                                 |        |                 |  |                       |
| DIRECTOR                      | 2 00              | Х                             |                       |         |              |                                 |        | 0.              | 0.                                       | 0.                    |
| (10) DEANNA BURGART DIRECTOR  | 3.00              | X                             |                       |         |              |                                 |        | 0.              | 0.                                       | _                     |
| (11) ANDREA DELGADO-OLSON     | 3.00              | Λ                             |                       |         |              |                                 |        | 0.              | 0.                                       | 0.                    |
| DIRECTOR                      | 3.00              | Х                             |                       |         |              |                                 |        | 0.              | 0.                                       | 0.                    |
| (12) WILLIAM TIGER            | 3.00              |                               |                       |         |              |                                 |        | 0.              |  | <u> </u>              |
| DIRECTOR                      | 3.00              | Х                             |                       |         |              |                                 |        | 0.              | 0.                                       | 0.                    |
| (13) JODI DILASCIO            | 3.00              |                               |                       |         |              |                                 |        |                 | •  | •                     |
| DIRECTOR                      |                   | х                             |                       |         |              |                                 |        | 0.              | 0.                                       | 0.                    |
| (14) DR. TRACY MORRIS         | 3.00              |                               |                       |         |              |                                 |        |                 |  |                       |
| DIRECTOR                      |                   | х                             |                       |         |              |                                 |        | 0.              | 0.                                       | 0.                    |
| (15) DR. WENDY SMYTHE         | 3.00              |                               |                       |         |              |                                 |        |                 |  |                       |
| DIRECTOR                      |                   | Х                             |                       |         |              |                                 |        | 0.              | 0.                                       | 0.                    |
| (16) B.J. ENOS (LEFT 11/2020) | 3.00              |                               |                       |         |              |                                 |        |                 |  |                       |
| DIRECTOR                      |                   | Х                             |                       |         |              |                                 |        | 0.              | 0.                                       | 0.                    |
|                               |                   |                               |                       |         |              |                                 |        |                 |  |                       |
|                               |                   |                               |                       |         |              |                                 |        |                 |  | 000                   |

Form 990 (2020)

Form 990 (2020)
Part VII Section A

|            | Section A. Officers, Directors, Trus            | itees, Key Emp     | JIOY                           | ees,                  | anc       | <u>וחוג</u>  | gnes                         | ιc              | ompensated Employee       | s (continued)     |        |         |         |              |
|------------|---|--------------------|--------------------------------|-----------------------|-----------|--------------|------------------------------|-----------------|---------------------------|-------------------|--------|---------|---------|--------------|
|            | (A)   | (B)                |                                |                       | (0        | C)           |                              |                 | (D)                       | (E)               |        |         | (F)     |              |
|            | Name and title                                  | Average            |                                |                       | Pos       |              |                              |                 | Reportable Reportable     |                   |        | Fs      | timate  | h            |
|            | Tame and the                                    | hours per          |                                |                       |           |              | than d                       |                 | compensation              | compensation      | n l    |         | ount    |              |
|            |   | week               |                                |                       |           |              | or/trust                     |                 | from                      | from related      |        |         | other   |              |
|            |   | (list any          | tor                            |                       |           |              |                              |                 | the                       | organizations     |        |         | pensa   | tion         |
|            |   | hours for          | Individual trustee or director |                       |           |              | DE .                         |                 | organization              | (W-2/1099-MIS     |        | fr      | om th   | е            |
|            |   | related            | ee or                          | stee stee             |           | nsate        |                              | (W-2/1099-MISC) | •                         | ´                 | org    | anizat  | ion     |              |
|            |   | organizations      | trust                          | al tru                |           | yee          | om pe                        |                 |                           |                   |        | and     | d relat | ed           |
|            |   | below              | idual                          | Institutional trustee | Je.       | Key employee | est co                       | æ               |                           |                   |        | orga    | ınizati | ons          |
|            |   | line)              | Indiv                          | Instit                | Officer   | Key e        | Highest compensated employee | Former          |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    | 1                              |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   | $\neg$ |         |         |              |
|            |   |                    | 1                              |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   | $\neg$ |         |         |              |
|            |   |                    | 1                              |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   | 1                  |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    | 1                              |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 | 450 005                   |                   |        |         |         |              |
|            | Subtotal  |                    |                                |                       |           |              |                              | <b>&gt;</b>     | 459,995.                  |                   | 0.     | 3       | 9,4     |              |
|            | Total from continuation sheets to Part V        |                    |                                |                       |           |              |                              | <b>&gt;</b>     | 0.                        |                   | 0.     |         |         | 0.           |
| d          | Total (add lines 1b and 1c)                     |                    |                                |                       |           |              |                              | <u> </u>        | 459,995.                  |                   | 0.     | 3       | 9,4     | 56.          |
| 2          | Total number of individuals (including but r    | ot limited to th   | ose                            | liste                 | d ab      | ove          | e) wh                        | o re            | eceived more than \$100,  | 000 of reportable |        |         |         | _            |
|            | compensation from the organization              |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         | 3            |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   | ſ      |         | Yes     | No           |
| 3          | Did the organization list any former officer    | , director, truste | ee, k                          | сеу е                 | mpl       | oye          | e, or                        | hig             | hest compensated empl     | oyee on           |        |         |         |              |
|            | line 1a? If "Yes," complete Schedule J for s    | uch individual     |                                |                       |           |              |                              |                 |                           |                   |        | 3       |         | _ <u>X</u> _ |
| 4          | For any individual listed on line 1a, is the su |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            | and related organizations greater than \$15     | 0,000? If "Yes,    | " со                           | mple                  | ete S     | Sche         | edule                        | J f             | or such individual        |                   |        | 4       | Х       |              |
| 5          | Did any person listed on line 1a receive or     |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            | rendered to the organization? If "Yes." con     | nplete Schedule    | e J fo                         | or su                 | ıch ı     | oers         | on .                         |                 |                           |                   |        | 5       |         | X            |
| Sec        | tion B. Independent Contractors                 |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
| 1          | Complete this table for your five highest co    | mpensated inc      | lepe                           | nder                  | nt co     | ontra        | actor                        | s th            | nat received more than \$ | 100,000 of comp   | ensat  | ion fro | m       |              |
|            | the organization. Report compensation for       | the calendar ye    | ear e                          | endin                 | ıg w      | ith c        | or wit                       | hin             | the organization's tax y  | ear.              |        |         |         |              |
|            | (A)   |                    |                                |                       |           |              |                              |                 | (B)                       |                   |        | (C      |         |              |
|            | Name and business                               | address            |                                |                       |           |              |                              |                 | Description of s          | ervices           | C      | omper   | nsatio  | n            |
|            | NDACE MCDONOUGH                                 |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
| <u>7 I</u> | HENSHAW PLACE, WEST NEW                         | VTON, MA           | . 0                            | 24                    | <u>65</u> |              |                              | _               | DEVELOPMENT 1             | DIRECTOR          |        | 10      | 3,8     | <u> </u>     |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              | $\dashv$        |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              | $\dashv$        |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              | $\dashv$        |                           |                   |        |         |         |              |
|            |   |                    |                                |                       |           |              |                              |                 |                           |                   |        |         |         |              |

Form **990** (2020)

Total number of independent contractors (including but not limited to those listed above) who received more than

Form 990 (2020) ENGINEE
Part VIII Statement of Revenue

|  |      |           | Check if Schedule O contain                 | ne a resnonse  | or note to any lin | e in this Part VIII                   |                   |  |                    |
|--|------|-----------|---|----------------|--------------------|---------------------------------------|-------------------|--|--------------------|
|  |      |           | Officer if Schedule O contain               | is a response  | or note to any iin | (A)                                   | (B)               | (C)  | (D)                |
|  |      |           |   |                |                    | Total revenue                         | Related or exempt | Unrelated  | Revenue excluded   |
|  |      |           |   |                |                    |                                       | function revenue  | business revenue                                 | from tax under     |
|  |      |           |   |                |                    |                                       |                   |  | sections 512 - 514 |
| Contributions, Gifts, Grants and Other Similar Amounts | 1 a  | a I       | Federated campaigns                         | 1a             |                    |                                       |                   |  |                    |
| irai<br>our  | ı    | b         | Membership dues                             | 1b             | 83,847.            |                                       |                   |  |                    |
| Š,   | (    | c i       | Fundraising events                          | 1c             | 500.               |                                       |                   |  |                    |
| iffs<br>ar /   |      |           | Related organizations                       |                |                    |                                       |                   |  |                    |
| s, G<br>mila   |      |           | Government grants (contribution             |                | 1,852,300.         |                                       |                   |  |                    |
| on:<br>Sii   | 1    |           | All other contributions, gifts, grants,     |                |                    |                                       |                   |  |                    |
| her  |      |           | similar amounts not included above          |                | 2,860,752.         |                                       |                   |  |                    |
| trib   |      |           | Noncash contributions included in lines 1a- |                | , ,                |                                       |                   |  |                    |
| no:  | ,    | _         | Total. Add lines 1a-1f                      | -              |                    | 4,797,399.                            |                   |  |                    |
| <u> </u>   | '    | <u>''</u> | Total: Add lines 1a-11                      |                | Business Code      | 2,,                                   |                   |  |                    |
|  |      | _ 1       | PUBLICATION                                 |                | 541900             | 375,258.                              | 375,258.          |  |                    |
| ice  | 2 8  | - ;       |   |                | 541900             | · · · · · · · · · · · · · · · · · · · | · · · · · ·       | <b>!</b>   |                    |
| er v   | '    | ٠.        | NATIONAL CONFERENCE                         |                |                    | 269,103.                              | 269,103.          | <del> </del>                                     |                    |
| n S<br>en  | (    | ٠.        | JOB BOARD                                   |                | 541900             | 91,796.                               | 91,796.           |  |                    |
| ran<br>}ev   | (    | d :       | EDUCATION INSTITUTE                         |                | 541900             | 22,249.                               | 22,249.           |  |                    |
| Program Service<br>Revenue                             | •    | е.        |   |                |                    |                                       |                   |  |                    |
| Ā  | 1    | f /       | All other program service revenu            | ıe             |                    |                                       |                   |  |                    |
|  | 9    | g ·       | Total. Add lines 2a-2f                      |                | <b>&gt;</b>        | 758,406.                              |                   |  |                    |
|  | 3    |           | Investment income (including di             | vidends, inter | est, and           |                                       |                   |  |                    |
|  |      | (         | other similar amounts)                      |                |                    | 10,098.                               |                   |  | 10,098.            |
|  | 4    |           | Income from investment of tax-e             |                |                    |                                       |                   |  |                    |
|  | 5    |           | Royalties                                   |                |                    |                                       |                   |  |                    |
|  |      |           | ,   | (i) Real       | (ii) Personal      |                                       |                   |  |                    |
|  | 6 :  | a (       | Gross rents 6a                              | .,             | .,                 |                                       |                   |  |                    |
|  |      |           | Less: rental expenses 6b                    |                |                    |                                       |                   |  |                    |
|  |      |           | Rental income or (loss) 6c                  |                |                    |                                       |                   |  |                    |
|  |      |           | ` '   |                |                    |                                       |                   |  |                    |
|  |      |           | Net rental income or (loss)                 | (i) Securities | (ii) Other         |                                       |                   |  |                    |
|  | / 8  |           | Gross amount from sales of                  | .,             | . ,                |                                       |                   |  |                    |
|  |      |           | assets other than inventory <b>7a</b>       | 803            | •                  |                                       |                   |  |                    |
|  | 1    |           | Less: cost or other basis                   | •              |                    |                                       |                   |  |                    |
| nιe  |      |           | and sales expenses                          | 0.             | ,                  |                                       |                   |  |                    |
| Revenue  |      |           | Gain or (loss) <b>7c</b>                    | 803            | , ,                |                                       |                   |  |                    |
| Re   | (    | d I       | Net gain or (loss)                          | <u></u>        | <b>&gt;</b>        | -8,289.                               |                   |  | -8,289.            |
| Other I  | 8 8  | a (       | Gross income from fundraising ever          |                |                    |                                       |                   |  |                    |
| ŏ  |      | į         | including \$5                               | 00. of         |                    |                                       |                   |  |                    |
|  |      | (         | contributions reported on line 1            | c). See        |                    |                                       |                   |  |                    |
|  |      | -         | Part IV, line 18                            | 8a             | 0.                 |                                       |                   |  |                    |
|  | ŀ    |           | Less: direct expenses                       | I .            | 0.                 |                                       |                   |  |                    |
|  |      | c i       | Net income or (loss) from fundra            | ising events   |                    | 0.                                    |                   |  |                    |
|  | 9 a  | a (       | Gross income from gaming activ              | ities. See     |                    |                                       |                   |  |                    |
|  |      | -         | Part IV, line 19                            | 9a             | 1                  |                                       |                   |  |                    |
|  | ı    |           | Less: direct expenses                       |                |                    |                                       |                   |  |                    |
|  |      |           | Net income or (loss) from gamin             |                | <b>•</b>           |                                       |                   |  |                    |
|  |      |           | Gross sales of inventory, less re           | ·              |                    |                                       |                   |  |                    |
|  |      |           | and allowances                              | I              | a 1,498.           |                                       |                   |  |                    |
|  |      |           | Less: cost of goods sold                    |                | ,                  |                                       |                   |  |                    |
|  |      |           |   |                | bj =73.            | 1,023.                                | 1,023.            |  |                    |
|  | _    | С         | Net income or (loss) from sales             | of inventory . | Business Code      | 1,023.                                | 1,023.            |  |                    |
| 2  |      |           |   |                | Business Code      | 150 405                               | 150 405           |  |                    |
| eor<br>Je  | 11 a |           | MISCELLANEOUS REVENUE                       |                | 900099             | 158,425.                              | 158,425.          | <del>                                     </del> |                    |
| lan<br>ent   | ŀ    | b .       |   |                |                    |                                       |                   |  |                    |
| Miscellaneous<br>Revenue                               | ٩    | C.        |   |                |                    |                                       |                   | ļ  |                    |
| Ais  | (    | d /       | All other revenue                           |                |                    |                                       |                   |  |                    |
| _  |      |           | Total. Add lines 11a-11d                    |                | · · ·              | 158,425.                              |                   |  |                    |
|  | 12   | •         | Total revenue. See instructions             |                | <b></b>            | 5,717,062.                            | 917,854.          | 0.   | 1,809.             |

Part IX | Statement of Functional Expenses Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) Check if Schedule O contains a response or note to any line in this Part IX (**D**) Fundraising expenses (C) Management and general expenses Do not include amounts reported on lines 6b. Program service expenses Total expenses 7b, 8b, 9b, and 10b of Part VIII. Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 Grants and other assistance to domestic 947,800. 947,800. individuals. See Part IV, line 22 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 ...... Benefits paid to or for members ..... Compensation of current officers, directors, 365,706. 145,772. 195,638. 24,296. trustees, and key employees ..... Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) 1,674,413. 1,167,967. 364,843. 141,603. Other salaries and wages 7 Pension plan accruals and contributions (include 35,254. 24,289. 8,865. 2,100. section 401(k) and 403(b) employer contributions) 202,432. 137,571. 11,342. 53,519. Other employee benefits 9 154,029. 114,420. 28,399. 11,210. 10 Payroll taxes Fees for services (nonemployees): 47,655. 47,655. Management 9,980. 9,980. Legal 36,476. 36,476. Accounting Lobbying Professional fundraising services. See Part IV, line 17 6,745. 6,745. Investment management fees .....

769,046.

6,480.

70,566.

151,903.

100,412.

122,478.

9,090.

740,536.

1,883.

31,849.

82,167.

118,320.

21,960.

32,729.

151,903.

7,036.

3,213.

9,090.

83.

amount, list line 24e expenses on Schedule O.) 384,265. 384,265. CONF PARTICIPANT COSTS MATERIALS AND SUPPLIES 354,039. 341,426. 12,393. 220. 57,792. 47,792. 3,403. 6,597. PRINTING AND COPYING 53,380. 19,747. 32,261. 1,372.d BANK SERVICE CHARGES -370,311.SEE SCH O 136,652. 454,877. 52,086. e All other expenses 5,696,593. 4,783,175. 585,731. 327,687. Total functional expenses. Add lines 1 through 24e

Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.

Check here if following SOP 98-2 (ASC 958-720)

06311104 131839 069-001039-00

Other. (If line 11g amount exceeds 10% of line 25,

column (A) amount, list line 11g expenses on Sch O.)

Advertising and promotion

Office expenses

Information technology

Royalties

Occupancy

Payments of travel or entertainment expenses for any federal, state, or local public officials ....

Conferences, conventions, and meetings

Payments to affiliates

Depreciation, depletion, and amortization

Other expenses. Itemize expenses not covered

above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A)

12

13

14 15

16

17 18

19

20

21

22 23

24

25

Form **990** (2020)

6,550.

4,514.

5,988.

11,209.

945.

## Part X Balance Sheet

| Part                        | ^   | Balance Sneet                                      |              |                     |                                 |        |                           |
|-----------------------------|-----|--|--------------|---------------------|---------------------------------|--------|---------------------------|
|                             |     | Check if Schedule O contains a response or r       | ote to any   | line in this Part X |                                 | ······ |                           |
|                             |     |  |              |                     | <b>(A)</b><br>Beginning of year |        | <b>(B)</b><br>End of year |
|                             | 1   | Cash - non-interest-bearing                        |              |                     | 527,185.                        | 1      | 585,652.                  |
|                             | 2   | Savings and temporary cash investments             |              | 1                   | 2,077.                          | 2      | 4,791.                    |
|                             | 3   | Pledges and grants receivable, net                 |              |                     | 264,858.                        | 3      | 332,960.                  |
|                             | 4   | Accounts receivable, net                           |              |                     | 547,107.                        | 4      | 386,145.                  |
|                             | 5   | Loans and other receivables from any current       |              |                     |                                 |        |                           |
|                             |     | trustee, key employee, creator or founder, sul     | ostantial co | ontributor, or 35%  |                                 |        |                           |
|                             |     | controlled entity or family member of any of the   | nese perso   | ns                  |                                 | 5      |                           |
|                             | 6   | Loans and other receivables from other disqu       |              |                     |                                 |        |                           |
|                             |     | under section 4958(f)(1)), and persons describ     | ed in sect   | ion 4958(c)(3)(B)   |                                 | 6      |                           |
| တ္                          | 7   | Notes and loans receivable, net                    |              |                     |                                 | 7      |                           |
| Assets                      | 8   | Inventories for sale or use                        |              |                     | 15,747.                         | 8      | 15,620                    |
| \ \                         | 9   |  |              |                     | 57,331.                         | 9      | 52,153.                   |
| -                           | 10a | Land, buildings, and equipment: cost or other      | .            |                     |                                 |        |                           |
|                             |     | basis. Complete Part VI of Schedule D              | 10a          | 201,146.            |                                 |        |                           |
|                             | b   | Less: accumulated depreciation                     | 10b          | 194,646.            | 6,500.                          | 10c    | 6,500.                    |
| -                           | 11  | Investments - publicly traded securities           |              |                     | 601,150.                        | 11     | 539,677                   |
| 1                           | 12  | Investments - other securities. See Part IV, lin   |              |                     | 12                              |        |                           |
| 1                           | 13  | Investments - program-related. See Part IV, lir    |              | 13,439.             | 13                              | 10,276 |                           |
|                             | 14  | Intangible assets                                  |              | 14                  |                                 |        |                           |
| 1                           | 15  | Other assets. See Part IV, line 11                 | 19,291.      | 15                  | 19,291.                         |        |                           |
| 1                           | 16  | Total assets. Add lines 1 through 15 (must e       | 2,054,685.   | 16                  | 1,953,065                       |        |                           |
| 1                           | 17  | Accounts payable and accrued expenses              |              |                     | 273,784.                        | 17     | 154,567.                  |
| 1                           | 18  | Grants payable                                     |              | 18                  |                                 |        |                           |
| 1                           | 19  | Deferred revenue                                   | 452,113.     | 19                  | 223,169                         |        |                           |
| 2                           | 20  | Tax-exempt bond liabilities                        |              |                     | 20                              |        |                           |
| 2                           | 21  | Escrow or custodial account liability. Comple      | e Part IV o  | of Schedule D       |                                 | 21     |                           |
| g 2                         | 22  | Loans and other payables to any current or fo      | rmer office  | er, director,       |                                 |        |                           |
| Liabilities                 |     | trustee, key employee, creator or founder, su      | ostantial co | ontributor, or 35%  |                                 |        |                           |
| abi                         |     | controlled entity or family member of any of the   | nese perso   | ns                  |                                 | 22     |                           |
| <u> </u>                    | 23  | Secured mortgages and notes payable to unr         | elated third | d parties           |                                 | 23     |                           |
| 2                           | 24  | Unsecured notes and loans payable to unrela        | ted third p  | arties              |                                 | 24     | 300,000.                  |
| 2                           | 25  | Other liabilities (including federal income tax,   | payables t   | o related third     |                                 |        |                           |
|                             |     | parties, and other liabilities not included on lin | nes 17-24).  | Complete Part X     |                                 |        |                           |
|                             |     | of Schedule D                                      |              |                     | 62,876.                         | 25     | 64,354.                   |
| 2                           | 26  | Total liabilities. Add lines 17 through 25         |              |                     | 788,773.                        | 26     | 742,090.                  |
|                             |     | Organizations that follow FASB ASC 958, o          | heck here    | • ► <u>X</u>        |                                 |        |                           |
| š                           |     | and complete lines 27, 28, 32, and 33.             |              |                     |                                 |        | 224 165                   |
|                             | 27  |  |              |                     | -807,554.                       | 27     | -901,167.                 |
| 8   2                       | 28  | Net assets with donor restrictions                 |              | <u> </u>            | 2,073,466.                      | 28     | 2,112,142.                |
| <u> </u>                    |     | Organizations that do not follow FASB ASC          | 958, che     | ck here 🕨 📖         |                                 |        |                           |
| 드                           |     | and complete lines 29 through 33.                  |              |                     |                                 |        |                           |
| 12 2                        | 29  | Capital stock or trust principal, or current fun-  |              |                     | 29                              |        |                           |
| Se   3                      | 30  | Paid-in or capital surplus, or land, building, or  |              |                     |                                 | 30     |                           |
| Net Assets or Fund Balances | 31  | Retained earnings, endowment, accumulated          |              |                     | 1 065 040                       | 31     | 1 010 055                 |
|                             | 32  | Total net assets or fund balances                  |              | 1                   | 1,265,912.                      | 32     | 1,210,975.                |
| 3                           | 33  | Total liabilities and net assets/fund balances     |              |                     | 2,054,685.                      | 33     | 1,953,065.                |

Form **990** (2020)

Form **990** (2020)

| Pa  | rt XI Reconciliation of Net Assets  |           |      |     |             |  |  |  |
|---|---|-----------|------|-----|-------------|--|--|--|
|   | Check if Schedule O contains a response or note to any line in this Part XI   |           |      |     | X           |  |  |  |
|   |   |           |      |     |             |  |  |  |
| 1   | Total revenue (must equal Part VIII, column (A), line 12)   | 1         | 5,71 |     |             |  |  |  |
| 2   | Total expenses (must equal Part IX, column (A), line 25)  | 2         | 5,69 |     |             |  |  |  |
| 3   | Revenue less expenses. Subtract line 2 from line 1  | 3         |      | 0,4 |             |  |  |  |
| 4   | Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))                             | 4         | 1,26 | 5,9 | <u> 12.</u> |  |  |  |
| 5   |   |           |      |     |             |  |  |  |
| 6   | Donated services and use of facilities  | 6         |      |     |             |  |  |  |
| 7   | Investment expenses   | 7         |      |     |             |  |  |  |
| 8   | Prior period adjustments  | 8         |      |     |             |  |  |  |
| 9   | Other changes in net assets or fund balances (explain on Schedule O)  | 9         | _    | 9,4 | 98.         |  |  |  |
| 10  | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32,                    |           |      |     |             |  |  |  |
|   | column (B))   | 10        | 1,21 | 0,9 | <u>75.</u>  |  |  |  |
| Pa  | rt XII Financial Statements and Reporting   |           |      |     |             |  |  |  |
|   | Check if Schedule O contains a response or note to any line in this Part XII  |           |      |     | X           |  |  |  |
|   |   |           |      | Yes | No          |  |  |  |
| 1   | Accounting method used to prepare the Form 990: Cash X Accrual Other  |           |      |     |             |  |  |  |
|   | If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule        | Ο.        |      |     |             |  |  |  |
| 2a  | Were the organization's financial statements compiled or reviewed by an independent accountant?                       |           | 2a   |     | Х           |  |  |  |
|   | If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed       | on a      |      |     |             |  |  |  |
|   | separate basis, consolidated basis, or both:  |           |      |     |             |  |  |  |
|   | Separate basis Consolidated basis Both consolidated and separate basis  |           |      |     |             |  |  |  |
| b   | Were the organization's financial statements audited by an independent accountant?                                    |           | 2b   | Х   |             |  |  |  |
|   | If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate      | basis,    |      |     |             |  |  |  |
|   | consolidated basis, or both:  |           |      |     |             |  |  |  |
|   | Separate basis X Consolidated basis Both consolidated and separate basis  |           |      |     |             |  |  |  |
| С   |   | audit,    |      |     |             |  |  |  |
|   | review, or compilation of its financial statements and selection of an independent accountant?                        |           | 2c   | Х   |             |  |  |  |
|   | If the organization changed either its oversight process or selection process during the tax year, explain on Sche    |           |      |     |             |  |  |  |
| 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit |   |           |      |     |             |  |  |  |
| Act and OMB Circular A-133?   |   |           |      |     |             |  |  |  |
| b   | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required | red audit |      |     |             |  |  |  |
| or audits, explain why on Schedule O and describe any steps taken to undergo such audits  |   |           |      |     |             |  |  |  |

032012 12-23-20

#### **SCHEDULE A**

Department of the Treasury

Internal Revenue Service

(Form 990 or 990-EZ)

Public Charity Status and Public Support
Complete if the organization is a section 501(c)(3) organization or a section

4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

**2020** 

Open to Public Inspection

**Employer identification number** 

Name of the organization AMERICAN INDIAN SCIENCE AND

ENGINEERING SOCIETY 73-1023474 Reason for Public Charity Status. (All organizations must complete this part.) See instructions. Part I The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.) A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). X An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or 10 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) An organization organized and operated exclusively to test for public safety. See section 509(a)(4). 11 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g. the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V. Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization. Enter the number of supported organizations Provide the following information about the supported organization(s). (i) Name of supported (ii) EIN (iii) Type of organization (v) Amount of monetary (vi) Amount of other n your governing document? (described on lines 1-10 organization support (see instructions) support (see instructions) No above (see instructions))

**Total** 

73-1023474 Page 2

## Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

| Sec  | ction A. Public Support                      |                       |                      |                       |                      |                     |                   |
|------|--|-----------------------|----------------------|-----------------------|----------------------|---------------------|-------------------|
| Cale | ndar year (or fiscal year beginning in) 🕨    | (a) 2016              | <b>(b)</b> 2017      | (c) 2018              | (d) 2019             | (e) 2020            | (f) Total         |
| 1    | Gifts, grants, contributions, and            |                       |                      |                       |                      |                     |                   |
|      | membership fees received. (Do not            |                       |                      |                       |                      |                     |                   |
|      | include any "unusual grants.")               | 2598979.              | 3399444.             | 4336334.              | 4804543.             | 4797399.            | <u> 19936699.</u> |
| 2    | Tax revenues levied for the organ-           |                       |                      |                       |                      |                     |                   |
|      | ization's benefit and either paid to         |                       |                      |                       |                      |                     |                   |
|      | or expended on its behalf                    |                       |                      |                       |                      |                     |                   |
| 3    | The value of services or facilities          |                       |                      |                       |                      |                     |                   |
|      | furnished by a governmental unit to          |                       |                      |                       |                      |                     |                   |
|      | the organization without charge              |                       |                      |                       |                      |                     |                   |
| 4    | Total. Add lines 1 through 3                 | 2598979.              | 3399444.             | 4336334.              | 4804543.             | 4797399.            | 19936699.         |
| 5    | The portion of total contributions           |                       |                      |                       |                      |                     |                   |
|      | by each person (other than a                 |                       |                      |                       |                      |                     |                   |
|      | governmental unit or publicly                |                       |                      |                       |                      |                     |                   |
|      | supported organization) included             |                       |                      |                       |                      |                     |                   |
|      | on line 1 that exceeds 2% of the             |                       |                      |                       |                      |                     |                   |
|      | amount shown on line 11,                     |                       |                      |                       |                      |                     |                   |
|      | column (f)                                   |                       |                      |                       |                      |                     | 2151040.          |
| 6    | Public support. Subtract line 5 from line 4. |                       |                      |                       |                      |                     | 17785659.         |
| Sec  | ction B. Total Support                       |                       |                      |                       |                      |                     |                   |
| Cale | ndar year (or fiscal year beginning in) 🕨    | (a) 2016              | <b>(b)</b> 2017      | <b>(c)</b> 2018       | (d) 2019             | (e) 2020            | (f) Total         |
| 7    | Amounts from line 4                          | 2598979.              | 3399444.             | 4336334.              | 4804543.             | 4797399.            | 19936699.         |
| 8    | Gross income from interest,                  |                       |                      |                       |                      |                     |                   |
|      | dividends, payments received on              |                       |                      |                       |                      |                     |                   |
|      | securities loans, rents, royalties,          |                       |                      |                       |                      |                     |                   |
|      | and income from similar sources              | 9,293.                | 11,389.              | 13,392.               | 14,769.              | 10,098.             | 58,941.           |
| 9    | Net income from unrelated business           |                       |                      |                       |                      |                     |                   |
|      | activities, whether or not the               |                       |                      |                       |                      |                     |                   |
|      | business is regularly carried on             |                       |                      |                       |                      |                     |                   |
| 10   | Other income. Do not include gain            |                       |                      |                       |                      |                     |                   |
|      | or loss from the sale of capital             |                       |                      |                       |                      |                     |                   |
|      | assets (Explain in Part VI.)                 |                       |                      |                       |                      |                     |                   |
| 11   | <b>Total support.</b> Add lines 7 through 10 |                       |                      |                       |                      |                     | 19995640.         |
| 12   | Gross receipts from related activities,      | etc. (see instruction | ns)                  |                       |                      | 12 3                | ,932,743.         |
| 13   | First 5 years. If the Form 990 is for th     | e organization's fir  | st, second, third, f | ourth, or fifth tax y | ear as a section 5   | 01(c)(3)            |                   |
|      | organization, check this box and stop        | here                  |                      |                       |                      |                     | <b>&gt;</b>       |
| Sec  | ction C. Computation of Publi                | c Support Per         | centage              |                       |                      |                     |                   |
| 14   |  |                       |                      |                       |                      | 14                  | 88.95 %           |
| 15   | Public support percentage from 2019          | Schedule A, Part      | II, line 14          |                       |                      | 15                  | 88.30 %           |
| 16a  | 33 1/3% support test - 2020. If the o        | organization did no   | t check the box or   | line 13, and line 1   | 14 is 33 1/3% or m   | ore, check this bo  |                   |
|      | stop here. The organization qualifies        | as a publicly suppo   | orted organization   |                       |                      |                     | <b>▶</b> X        |
| b    | 33 1/3% support test - 2019. If the o        | organization did no   | t check a box on li  | ne 13 or 16a, and     | line 15 is 33 1/3%   | or more, check th   | is box            |
|      | and stop here. The organization qual         | ifies as a publicly s | upported organiza    | ition                 |                      |                     | ▶□                |
| 17a  | 10% -facts-and-circumstances test            | - 2020. If the org    | anization did not c  |                       |                      |                     |                   |
|      | and if the organization meets the facts      | s-and-circumstance    | es test, check this  | box and stop he       | re. Explain in Part  | VI how the organiz  | ation             |
|      | meets the facts-and-circumstances te         | st. The organizatio   | n qualifies as a pu  | blicly supported o    | rganization          |                     | <b>&gt;</b>       |
| b    | 10% -facts-and-circumstances test            | - 2019. If the org    | anization did not c  | heck a box on line    | e 13, 16a, 16b, or 1 | 7a, and line 15 is  | 10% or            |
|      | more, and if the organization meets th       | ne facts-and-circum   | stances test, chec   | ck this box and st    | op here. Explain in  | n Part VI how the   |                   |
|      | organization meets the facts-and-circu       | ımstances test. Th    | e organization qua   | lifies as a publicly  | supported organiz    | ation               | ▶□                |
| 18   | Private foundation. If the organization      | n did not check a l   | oox on line 13, 16a  | a, 16b, 17a, or 17b   | , check this box ar  | nd see instructions | <u> </u>          |

Schedule A (Form 990 or 990-EZ) 2020

#### Part III | Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

| Section A. Public  | Support  | now, piedee comp   | note i uit ii.j   |                      |                      |                         |             |
|--|--|--------------------|-------------------|----------------------|----------------------|-------------------------|-------------|
| Calendar year (or fiscal y   |  | (a) 2016           | <b>(b)</b> 2017   | (c) 2018             | (d) 2019             | (e) 2020                | (f) Total   |
| Gifts, grants, cont<br>membership fees<br>include any "unus  | received. (Do not                                      |                    |                   |                      |                      |                         |             |
| 2 Gross receipts fro<br>merchandise sold<br>formed, or facilitie<br>any activity that is<br>organization's tax   | or services per-<br>es furnished in<br>related to the  |                    |                   |                      |                      |                         |             |
| 3 Gross receipts fro<br>are not an unrelat-<br>iness under section   | ed trade or bus-                                       |                    |                   |                      |                      |                         |             |
| 4 Tax revenues levie ization's benefit at or expended on it  | nd either paid to                                      |                    |                   |                      |                      |                         |             |
| 5 The value of service furnished by a government the organization was a service of the control o | ces or facilities<br>vernmental unit to                |                    |                   |                      |                      |                         |             |
| 6 Total. Add lines 1   | through 5  |                    |                   |                      |                      |                         |             |
| 7a Amounts included<br>3 received from di  | on lines 1, 2, and squalified persons                  |                    |                   |                      |                      |                         |             |
| <b>b</b> Amounts included on lin<br>from other than disqualit<br>exceed the greater of \$5<br>amount on line 13 for the  | fied persons that                                      |                    |                   |                      |                      |                         |             |
| c Add lines 7a and   | 7b   |                    |                   |                      |                      |                         |             |
| 8 Public support. (Section B. Total S  |  |                    |                   |                      |                      |                         |             |
| Calendar year (or fiscal y   | rear beginning in)                                     | (a) 2016           | <b>(b)</b> 2017   | (c) 2018             | (d) 2019             | (e) 2020                | (f) Total   |
| 9 Amounts from line<br>10a Gross income fror<br>dividends, payme<br>securities loans, re   | e 6<br>m interest,<br>nts received on                  | (1)                | 12/22             | (2)                  | (4)                  | (7)====                 | (7)         |
| <b>b</b> Unrelated business t  | taxable income<br>kes) from businesses                 |                    |                   |                      |                      |                         |             |
| c Add lines 10a and<br>11 Net income from u<br>activities not inclu<br>whether or not the<br>regularly carried o   | unrelated business<br>ided in line 10b,<br>business is |                    |                   |                      |                      |                         |             |
| 12 Other income. Do or loss from the sa  | not include gain                                       |                    |                   |                      |                      |                         |             |
| 13 Total support. (Add I   |  |                    |                   |                      |                      | 1                       |             |
| 14 First 5 years. If th  |  | •                  |                   | •                    | •                    | . , . ,                 | . —         |
| check this box an<br>Section C. Comp   | d stop here  |                    |                   |                      |                      |                         | <b>&gt;</b> |
|  |  |                    |                   |                      |                      | 145                     |             |
| 15 Public support pe   | •  |                    | •                 | .,,                  |                      | 15                      | <u>%</u>    |
| 16 Public support pe<br>Section D. Comp  |  |                    | •                 |                      |                      | 16                      | %           |
|  |  |                    |                   | ing 12 galuman (f)\  |                      | 47                      | 0/          |
| 17 Investment incom  |  |                    |                   |                      |                      | 17                      | %           |
| 18 Investment incom  | •  |                    |                   | on line 14, and line |                      | 18   23 1/3% and line 1 | % %         |
| 19a 33 1/3% support  |  |                    |                   |                      |                      | 42                      | ▶ □         |
| b 33 1/3% support  | %, check this box and tests - 2019. If the             | organization did n | ot check a box or | line 14 or line 19a  | a, and line 16 is mo | ore than 33 1/3%, a     | nd          |
|  | e than 33 1/3%, chec                                   |                    |                   |                      |                      |                         | <b>&gt;</b> |
| 20 Private foundation  | n If the organization                                  | a did not check a  | hox on line 14 19 | a or 19h check th    | nie hay and see ing  | structions              |             |

## Part IV | Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

#### Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- **3a** Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- **4a** Was any supported organization not organized in the United States ("foreign supported organization")? *If* "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- **b Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- **9a** Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in **Part VI.**
- **b** Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in **Part VI.**
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.
  - **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

|   |         | Yes | No |
|---|---------|-----|----|
|   |         |     |    |
|   | 1       |     |    |
|   |         |     |    |
|   | 2       |     |    |
|   |         |     |    |
|   | 3a      |     |    |
|   |         |     |    |
|   | 3b      |     |    |
|   |         |     |    |
|   | 3c      |     |    |
|   | 4a      |     |    |
|   | та      |     |    |
|   | 4b      |     |    |
|   | 12      |     |    |
|   |         |     |    |
|   | 4c      |     |    |
|   |         |     |    |
|   |         |     |    |
|   | 5a      |     |    |
|   | <b></b> |     |    |
|   | 5b      |     |    |
|   | 5c      |     |    |
|   | 6       |     |    |
|   | 6       |     |    |
|   | 7       |     |    |
|   | 7       |     |    |
|   | 8       |     |    |
|   |         |     |    |
|   | 9a      |     |    |
|   |         |     |    |
|   | 9b      |     |    |
|   | 00      |     |    |
|   | 9c      |     |    |
|   | , -     |     |    |
|   | 10a     |     |    |
|   | 10b     |     |    |
| _ |         |     |    |

| Par    | Supporting Organizations (continued)   |         |            |    |
|--------|--|---------|------------|----|
|        | _  |         | Yes        | No |
| 11     | Has the organization accepted a gift or contribution from any of the following persons?  |         |            |    |
| а      | A person who directly or indirectly controls, either alone or together with persons described in lines 11b and   |         |            |    |
|        | 11c below, the governing body of a supported organization?   | 11a     |            |    |
| b      | A family member of a person described in line 11a above?   | 11b     |            |    |
| С      | A 35% controlled entity of a person described in line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide   |         |            |    |
|        | detail in Part VI.   | 11c     |            |    |
| Sec    | ion B. Type I Supporting Organizations   |         |            |    |
|        |  |         | Yes        | No |
| 1      | Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or   |         |            |    |
|        | more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers,  |         |            |    |
|        | directors, or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s)   |         |            |    |
|        | effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the  |         |            |    |
|        | supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.   | 1       |            |    |
| 2      | Did the organization operate for the benefit of any supported organization other than the supported  |         |            |    |
|        | organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in   |         |            |    |
|        | Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated,  |         |            |    |
|        | supervised, or controlled the supporting organization.   | 2       |            |    |
| Sect   | ion C. Type II Supporting Organizations  |         |            |    |
|        | _  |         | Yes        | No |
| 1      | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors   |         |            |    |
|        | or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control  |         |            |    |
|        | or management of the supporting organization was vested in the same persons that controlled or managed   |         |            |    |
|        | the supported organization(s).   | 1       |            |    |
| Sec    | ion D. All Type III Supporting Organizations   |         |            |    |
|        | _  |         | Yes        | No |
| 1      | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the   |         |            |    |
|        | organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax  |         |            |    |
|        | year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the   |         |            |    |
|        | organization's governing documents in effect on the date of notification, to the extent not previously provided?   | 1       |            |    |
| 2      | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported   |         |            |    |
|        | organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how   |         |            |    |
|        | the organization maintained a close and continuous working relationship with the supported organization(s).  | 2       |            |    |
| 3      | By reason of the relationship described in line 2, above, did the organization's supported organizations have a  |         |            |    |
|        | significant voice in the organization's investment policies and in directing the use of the organization's   |         |            |    |
|        | income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's   |         |            |    |
| 800    | supported organizations played in this regard.<br>ion E. Type III Functionally Integrated Supporting Organizations   | 3       |            |    |
|        |  |         |            |    |
| 1      | Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).  |         |            |    |
| a      | The organization satisfied the Activities Test. Complete line 2 below.  The organization is the parent of each of its supported organizations. Complete line 3 below.  |         |            |    |
| b      | · · · · · · · · · · · · · · · · · · ·  |         | - 1        |    |
| с<br>2 | The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see instanctivities Test. Answer lines 2a and 2b below.   | ruction | S).<br>Yes | No |
| a      | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of   |         | 163        | NO |
| а      | the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI identify</b>  |         |            |    |
|        | those supported organization(s) to which the organization was responsive: If yes, (right) if y |         |            |    |
|        |  |         |            |    |
|        | how the organization was responsive to those supported organizations, and how the organization determined  | 2a      |            |    |
| b      | that these activities constituted substantially all of its activities.  Did the activities described in line 2a, above, constitute activities that, but for the organization's involvement,  | _4      |            |    |
| ~      | one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in   |         |            |    |
|        | Part VI the reasons for the organization's position that its supported organization(s) would have engaged in   |         |            |    |
|        | these activities but for the organization's involvement.   | 2b      |            |    |
| 3      | Parent of Supported Organizations. <b>Answer lines 3a and 3b below.</b>  |         |            |    |
| а      | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or  |         |            |    |
| -      | trustees of each of the supported organizations? If "Yes" or "No" provide details in <b>Part VI.</b>   | За      |            |    |
| b      | Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each  |         |            |    |
| _      | of its supported organizations? If "Yes." describe in <b>Part VI</b> the role played by the organization in this regard.   | 3b      |            |    |
|        |  |         |            |    |

| Pa   | rt V Type III Non-Functionally Integrated 509(a)(3) Supportin   | g Orgar    | nizations                    |                                |  |  |
|------|---|------------|------------------------------|--------------------------------|--|--|
| 1    | Check here if the organization satisfied the Integral Part Test as a qualifyin  | g trust on | Nov. 20, 1970 ( explain in I | Part VI). See instructions.    |  |  |
|      | All other Type III non-functionally integrated supporting organizations must  | complete   | Sections A through E.        |                                |  |  |
| Sect | ion A - Adjusted Net Income   |            | (A) Prior Year               | (B) Current Year<br>(optional) |  |  |
| _1   | Net short-term capital gain   | 1          |                              |                                |  |  |
| 2    | Recoveries of prior-year distributions  | 2          |                              |                                |  |  |
| _3_  | Other gross income (see instructions)   | 3          |                              |                                |  |  |
| _4   | Add lines 1 through 3.  | 4          |                              |                                |  |  |
| _5   | Depreciation and depletion  | 5          |                              |                                |  |  |
| 6    | Portion of operating expenses paid or incurred for production or  |            |                              |                                |  |  |
|      | collection of gross income or for management, conservation, or  |            |                              |                                |  |  |
|      | maintenance of property held for production of income (see instructions)  | 6          |                              |                                |  |  |
| _7   | Other expenses (see instructions)   | 7          |                              |                                |  |  |
| 8    | Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)  | 8          |                              |                                |  |  |
| Sect | ion B - Minimum Asset Amount  |            | (A) Prior Year               | (B) Current Year<br>(optional) |  |  |
| 1    | Aggregate fair market value of all non-exempt-use assets (see   |            |                              |                                |  |  |
|      | instructions for short tax year or assets held for part of year):   |            |                              |                                |  |  |
| a    | Average monthly value of securities   | 1a         |                              |                                |  |  |
| b    | Average monthly cash balances   | 1b         |                              |                                |  |  |
| c    | Fair market value of other non-exempt-use assets  | 1c         |                              |                                |  |  |
| d    | Total (add lines 1a, 1b, and 1c)  | 1d         |                              |                                |  |  |
| е    | Discount claimed for blockage or other factors  |            |                              |                                |  |  |
|      | (explain in detail in Part VI):   |            |                              |                                |  |  |
| _2   | Acquisition indebtedness applicable to non-exempt-use assets  | 2          |                              |                                |  |  |
| _3_  | Subtract line 2 from line 1d.   | 3          |                              |                                |  |  |
| 4    | Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount,   |            |                              |                                |  |  |
|      | see instructions).  | 4          |                              |                                |  |  |
| _5_  | Net value of non-exempt-use assets (subtract line 4 from line 3)  | 5          |                              |                                |  |  |
| _6_  | Multiply line 5 by 0.035.   | 6          |                              |                                |  |  |
| _7_  | Recoveries of prior-year distributions  | 7          |                              |                                |  |  |
| 8    | Minimum Asset Amount (add line 7 to line 6)   | 8          |                              |                                |  |  |
| Sect | ion C - Distributable Amount  |            |                              | Current Year                   |  |  |
| _1   | Adjusted net income for prior year (from Section A, line 8, column A)   | 1          |                              |                                |  |  |
| 2    | Enter 0.85 of line 1.   | 2          |                              |                                |  |  |
| 3    | Minimum asset amount for prior year (from Section B, line 8, column A)  | 3          |                              |                                |  |  |
| _4   | Enter greater of line 2 or line 3.  | 4          |                              |                                |  |  |
| _5   | Income tax imposed in prior year  | 5          |                              |                                |  |  |
| 6    | Distributable Amount. Subtract line 5 from line 4, unless subject to  |            |                              |                                |  |  |
|      | emergency temporary reduction (see instructions).   | 6          |                              |                                |  |  |
| 7    | Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see |            |                              |                                |  |  |

Schedule A (Form 990 or 990-EZ) 2020

instructions).

|            | t V Type III Non-Functionally Integrated 509(                   | (a)(3) Supporting Orga                        | nizations (continu            | ued)     | 5 1025474 Page 1                 |
|------------|---|---|-------------------------------|----------|----------------------------------|
| Sect       | ion D - Distributions   | <u>, , , , , , , , , , , , , , , , , , , </u> | Continu                       | <u> </u> | Current Year                     |
| 1          | Amounts paid to supported organizations to accomplish exer      | mpt purposes                                  |                               | 1        |                                  |
| 2          | Amounts paid to perform activity that directly furthers exemp   | t purposes of supported                       |                               |          |                                  |
|            | organizations, in excess of income from activity                |   |                               | 2        |                                  |
| 3          | Administrative expenses paid to accomplish exempt purpose       | es of supported organizations                 | 3                             | 3        |                                  |
| 4          | Amounts paid to acquire exempt-use assets                       |   |                               | 4        |                                  |
| 5          | Qualified set-aside amounts (prior IRS approval required - pro  | ovide details in Part VI)                     |                               | 5        |                                  |
| 6          | Other distributions (describe in Part VI). See instructions.    |   |                               | 6        |                                  |
| 7          | Total annual distributions. Add lines 1 through 6.              |   |                               | 7        |                                  |
| 8          | Distributions to attentive supported organizations to which the | ne organization is responsive                 |                               |          |                                  |
|            | (provide details in Part VI). See instructions.                 |   |                               | 8        |                                  |
| 9          | Distributable amount for 2020 from Section C, line 6            |   |                               | 9        |                                  |
| 10         | Line 8 amount divided by line 9 amount                          |   |                               | 10       |                                  |
|            |   | (i)   | (ii)                          |          | (iii)                            |
| Sect       | ion E - Distribution Allocations (see instructions)             | Excess Distributions                          | Underdistribution<br>Pre-2020 | ns       | Distributable<br>Amount for 2020 |
| _1_        | Distributable amount for 2020 from Section C, line 6            |   |                               |          |                                  |
| 2          | Underdistributions, if any, for years prior to 2020 (reason-    |   |                               |          |                                  |
|            | able cause required - explain in Part VI). See instructions.    |   |                               |          |                                  |
| 3          | Excess distributions carryover, if any, to 2020                 |   |                               |          |                                  |
| а          | From 2015   |   |                               |          |                                  |
| b          | From 2016   |   |                               |          |                                  |
| с          | From 2017   |   |                               |          |                                  |
| d          | From 2018   |   |                               |          |                                  |
| е          | From 2019   |   |                               |          |                                  |
| f          | Total of lines 3a through 3e                                    |   |                               |          |                                  |
| g          | Applied to underdistributions of prior years                    |   |                               |          |                                  |
| <u>h</u>   | Applied to 2020 distributable amount                            |   |                               |          |                                  |
| _ <u>i</u> | Carryover from 2015 not applied (see instructions)              |   |                               |          |                                  |
| <u>j_</u>  | Remainder. Subtract lines 3g, 3h, and 3i from line 3f.          |   |                               |          |                                  |
| 4          | Distributions for 2020 from Section D,                          |   |                               |          |                                  |
|            | line 7: \$  |   |                               |          |                                  |
| a          | Applied to underdistributions of prior years                    |   |                               |          |                                  |
|            | Applied to 2020 distributable amount                            |   |                               |          |                                  |
|            | Remainder. Subtract lines 4a and 4b from line 4.                |   |                               |          |                                  |
| 5          | Remaining underdistributions for years prior to 2020, if        |   |                               |          |                                  |
|            | any. Subtract lines 3g and 4a from line 2. For result greater   |   |                               |          |                                  |
|            | than zero, explain in Part VI. See instructions.                |   |                               |          |                                  |
| 6          | Remaining underdistributions for 2020. Subtract lines 3h        |   |                               |          |                                  |
|            | and 4b from line 1. For result greater than zero, explain in    |   |                               |          |                                  |
|            | Part VI. See instructions.                                      |   |                               |          |                                  |
| 7          | Excess distributions carryover to 2021. Add lines 3j            |   |                               |          |                                  |
|            | and 4c.   |   |                               |          |                                  |
| _8_        | Breakdown of line 7:  Excess from 2016                          |   |                               |          |                                  |
|            | Excess from 2017  |   |                               |          |                                  |
|            |   |   |                               |          |                                  |
|            | Excess from 2018 Excess from 2019                               |   |                               |          |                                  |
|            |   |   |                               |          |                                  |
| <u>e</u>   | Excess from 2020  |   | _                             |          | Farra 000 ar 000 F7\ 000         |

Schedule A (Form 990 or 990-EZ) 2020

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12;

Part VI

Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.) SCHEDULE A, PART II: CHANGES WERE MADE TO THE PRIOR YEAR INFORMATION REPORTED IN PART II OF SCHEDULE A TO MORE ACCURATELY REFLECT THE CATEGORIES OF INCOME REPORTED IN THE SCHEDULE OF REVENUES IN THE PRIOR YEAR FORMS 990 IN ACCORDANCE WITH THE IRS SCHEDULE A INSTRUCTIONS. THE AMOUNTS REPORTED ON LINE 10 AS MISC INCOME IN THE PRIOR YEARS WAS ACTUALLY GROSS RECEIPTS FROM PERFORMING RELATED ACTIVITIES AND IS NOW INCLUDED ON LINE 12. LINE 12 NOW INCLUDES THE GROSS RECEIPTS FROM PERFORMING RELATED ACTIVITIES AS REPORTED OR REPORTABLE IN COLUMN (B) OF THE FORM 990 STATEMENT OF REVENUES. ALSO, THE LINE 5 EXCESS CONTRIBUTIONS REPORTED IN PRIOR YEARS INCLUDED CONTRIBUTIONS FROM GOVERNMENTAL UNITS AND PUBLICLY SUPPORTED ORGANIZATIONS THAT ARE SUPPOSED TO BE EXCLUDED AS INDICATED IN THE LINE LINE 5 HAS BEEN CORRECTED TO EXCLUDE CONTRIBUTIONS FROM 5 DESCRIPTION. THESE TYPES OF ORGANIZATIONS. THESE CHANGES SIGNIFICANTLY INCREASED THE PUBLIC SUPPORT PERCENTAGE FOR THE ORGANIZATION AS NOTED IN PART II SECTION C OF THE SCHEDULE A WHEN COMPARING TO YEARS PRIOR TO 2019.

# Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service **Schedule of Contributors** 

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Name of the organization

AMERICAN INDIAN SCIENCE AND

ENGINEERING SOCIETY

Employer identification number

73-1023474

| Organization type (check one):  |   |  |  |  |  |  |
|---|---|--|--|--|--|--|
| Filers of   | <b>:</b>  | Section:   |  |  |  |  |
| Form 99   | 0 or 990-EZ   | $\boxed{\textbf{X}}$ 501(c)( $3$ ) (enter number) organization   |  |  |  |  |
|   |   | 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation   |  |  |  |  |
|   |   | 527 political organization   |  |  |  |  |
| Form 99   | 0-PF  | 501(c)(3) exempt private foundation  |  |  |  |  |
|   |   | 4947(a)(1) nonexempt charitable trust treated as a private foundation  |  |  |  |  |
|   |   | 501(c)(3) taxable private foundation   |  |  |  |  |
|   |   | s covered by the <b>General Rule</b> or a <b>Special Rule</b> .  7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.   |  |  |  |  |
| General   | Rule  |  |  |  |  |  |
|   | -   | n filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.   |  |  |  |  |
| Special   | Rules   |  |  |  |  |  |
| X   | sections 509(a)(1) a any one contributor  | n described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from r, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; line 1. Complete Parts I and II. |  |  |  |  |
|   | For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III. |  |  |  |  |  |
| For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the <b>General Rule</b> applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year |   |  |  |  |  |  |
| but it mu   | ust answer "No" on  | at isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to ne filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).   |  |  |  |  |

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2020)

Name of organization

AMERICAN INDIAN SCIENCE AND

ENGINEERING SOCIETY

Employer identification number

73-1023474

| Part I     | <b>Contributors</b> (see instructions). Use duplicate copies of Part I if additional | l space is needed.         |  |
|------------|--|----------------------------|--|
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d) Type of contribution   |
| 1          |  | \$\$                       | Person X Payroll  Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d) Type of contribution   |
| 2          |  | \$\$                       | Person X Payroll   |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d) Type of contribution   |
| 3          |  | \$110,000.                 | Person X Payroll   |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution  |
| 4          |  | \$\$                       | Person X Payroll   |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d) Type of contribution   |
| 5          |  | \$ 287,686.                | Person X Payroll   |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d) Type of contribution   |
| 6          |  | \$96,767.                  | Person X Payroll Noncash (Complete Part II for noncash contributions.)   |

Name of organization

AMERICAN INDIAN SCIENCE AND
ENGINEERING SOCIETY

Employer identification number

73-1023474

| Part I     | Contributors (see instructions). Use duplicate copies of Part I if addition | nal space is needed.          |  |
|------------|---|-------------------------------|--|
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions    | (d)<br>Type of contribution  |
| 7          |   | -<br>-<br>\$ <u>457,142.</u>  | Person X Payroll   |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c) Total contributions       | (d) Type of contribution   |
| 8          | Name, audiess, and Zir + 4  | \$ 217,743.                   | Person X Payroll Noncash (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c) Total contributions       | (d)<br>Type of contribution  |
| 9          |   | \$\$\$                        | Person X Payroll Noncash (Complete Part II for noncash contributions.) |
| (a)        | (b)   | (c)                           | (d)  |
| 10         | Name, address, and ZIP + 4  | Total contributions  579,400. | Person X Payroll Noncash (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c) Total contributions       | (d) Type of contribution   |
| 11         | Naille, audi ess, aliu ZIF + 4  | \$ 184,632.                   | Person X Payroll Noncash (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions    | (d)<br>Type of contribution  |
|            | Naille, auul 655, aliu ZIF + 4  | - \$                          | Person Payroll Noncash Complete Part II for noncash contributions.)    |

Name of organization Employer identification number AMERICAN INDIAN SCIENCE AND ENGINEERING SOCIETY

73-1023474

| Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed. |   |  |  |  |  |  |
|---|---|--|--|--|--|--|
| (b) Description of noncash property given   | (c) FMV (or estimate) (See instructions.)   | (d)<br>Date received   |  |  |  |  |
|   |   |  |  |  |  |  |
| (b)  Description of noncash property given  | (c) FMV (or estimate) (See instructions.)   | (d)<br>Date received   |  |  |  |  |
|   | \$  |  |  |  |  |  |
| (b)  Description of noncash property given  | (c) FMV (or estimate) (See instructions.)   | (d)<br>Date received   |  |  |  |  |
|   | \$  |  |  |  |  |  |
| (b)  Description of noncash property given  | (c) FMV (or estimate) (See instructions.)   | (d)<br>Date received   |  |  |  |  |
|   | \$  |  |  |  |  |  |
| (b)  Description of noncash property given  | (c) FMV (or estimate) (See instructions.)   | (d)<br>Date received   |  |  |  |  |
|   | \$  |  |  |  |  |  |
| (b)  Description of noncash property given  | (c) FMV (or estimate) (See instructions.)   | (d)<br>Date received   |  |  |  |  |
|   |   |  |  |  |  |  |
|   | (b) Description of noncash property given  (b) Description of noncash property given | Description of noncash property given  (b)  (c)  FMV (or estimate)  (see instructions.)  (d)  Description of noncash property given  (c)  FMV (or estimate)  (see instructions.)  (d)  Description of noncash property given  (e)  FMV (or estimate)  (see instructions.)  (f)  FMV (or estimate)  (see instructions.)  (g)  FMV (or estimate)  (see instructions.)  (h)  Description of noncash property given  (c)  FMV (or estimate)  (see instructions.) |  |  |  |  |

Schedule B (Form 990, 990-EZ, or 990-PF) (2020) Employer identification number Name of organization AMERICAN INDIAN SCIENCE AND

|                 | ERING SOCIETY  |  |                       |                                 | 73-1023474                   |  |  |
|-----------------|--|--|-----------------------|---------------------------------|------------------------------|--|--|
| t III           | Exclusively religious, charitable, etc., contributi from any one contributor. Complete columns (a) | through (e) and the following            | ing line entry. For a | rganizations                    |                              |  |  |
|                 | completing Part III, enter the total of exclusively religious,                                     | charitable, etc., contributions of       | \$1,000 or less for   | the year. (Enter this info. onc | e.) <b>&gt;</b> \$           |  |  |
| ı_ T            | Use duplicate copies of Part III if additional   | space is needed.                         |                       | <b>I</b>                        |                              |  |  |
| o.<br>n<br>t I  | (b) Purpose of gift  | (c) Use of                               | gift                  | (d) Desc                        | cription of how gift is held |  |  |
| _               |  |  |                       |                                 |                              |  |  |
|                 |  |  |                       |                                 |                              |  |  |
|                 |  | (e) Trans                                | fer of gift           |                                 |                              |  |  |
|                 | Transferee's name, address, ar   | nd ZIP + 4                               | R                     | elationship of tra              | nsferor to transferee        |  |  |
|                 |  |  |                       |                                 |                              |  |  |
| lo.<br>m        | (b) Purpose of gift  | (c) Use of                               | aift                  | (d) Desc                        | ription of how gift is held  |  |  |
| i I             |  | (0) 000 01.                              |                       | () 2 3 3 3                      |                              |  |  |
| -               |  |  |                       |                                 |                              |  |  |
|                 | (e) Transfer of gift   |  |                       |                                 |                              |  |  |
|                 | Transferee's name, address, ar   | Relationship of transferor to transferee |                       |                                 |                              |  |  |
|                 |  |  |                       |                                 |                              |  |  |
|                 |  |  |                       |                                 |                              |  |  |
| lo.<br>m<br>t l | (b) Purpose of gift  | (c) Use of                               | gift                  | (d) Desc                        | ription of how gift is held  |  |  |
| _               |  |  |                       |                                 |                              |  |  |
|                 | (a) Trans  |  | fer of gift           |                                 |                              |  |  |
|                 |  |  |                       |                                 |                              |  |  |
| F               | Transferee's name, address, ar   | nd ZIP + 4                               | R                     | elationship of tra              | nsferor to transferee        |  |  |
|                 |  |  |                       |                                 |                              |  |  |
| o.<br>n         | (b) Purpose of gift  | (c) Use of                               | gift                  | (d) Desc                        | cription of how gift is held |  |  |
|                 |  |  | _                     |                                 |                              |  |  |
| _               |  |  |                       |                                 |                              |  |  |
|                 |  | (e) Trans                                | fer of gift           | ı                               |                              |  |  |
| -               | Transferee's name, address, ar   | R  | elationship of tra    | nsferor to transferee           |                              |  |  |
|                 |  |  |                       |                                 |                              |  |  |

#### **SCHEDULE D** (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047 Open to Public Inspection

Name of the organization

AMERICAN INDIAN SCIENCE AND ENGINEERING SOCIETY

**Employer identification number** 73-1023474

|    | organization answered "Yes" on Form 990, Part IV, line                 |                           | iood funds              | /Js.\ =         | do and ather are a     | nto        |
|----|--|---------------------------|-------------------------|-----------------|------------------------|------------|
|    | -  | (a) Donor adv             | isea funas              | (b) Fund        | ds and other accou     | nts        |
| 1  | Total number at end of year  |                           |                         |                 |                        |            |
| 2  | Aggregate value of contributions to (during year)                      |                           |                         |                 |                        |            |
| 3  | Aggregate value of grants from (during year)                           |                           |                         |                 |                        |            |
| 4  | Aggregate value at end of year   |                           |                         |                 |                        |            |
| 5  | Did the organization inform all donors and donor advisors in w         | -                         |                         |                 |                        |            |
|    | are the organization's property, subject to the organization's e       |                           |                         |                 | Yes                    | L No       |
| 6  | Did the organization inform all grantees, donors, and donor ad         |                           |                         |                 |                        |            |
|    | for charitable purposes and not for the benefit of the donor or        |                           |                         | ū               |                        | <b></b>    |
| Pa | impermissible private benefit?   |                           | ·····                   |                 | Yes                    | No         |
|    |  |                           |                         | art IV, line 7. |                        |            |
| 1  | Purpose(s) of conservation easements held by the organizatio           | r r                       |                         |                 |                        |            |
|    | Preservation of land for public use (for example, recreati             | ion or education) [       |                         | -               | mportant land area     | l          |
|    | Protection of natural habitat  | L                         | Preservation of         | a certified his | toric structure        |            |
| _  | Preservation of open space   |                           |                         |                 |                        |            |
| 2  | Complete lines 2a through 2d if the organization held a qualific       | ed conservation cont      | ribution in the form (  |                 |                        |            |
| _  | day of the tax year.   |                           |                         |                 | Held at the End of the | e iax year |
| a  |  |                           |                         |                 |                        |            |
| b  |  |                           |                         |                 |                        |            |
| C  | Number of conservation easements on a certified historic stru-         |                           |                         |                 |                        |            |
| d  | \  | · ·                       |                         |                 |                        |            |
| 2  | listed in the National Register  |                           |                         |                 | luring the toy         |            |
| 3  | Number of conservation easements modified, transferred, rele           | asea, extinguisnea, c     | or terminated by the    | organization c  | uring the tax          |            |
| 4  | year ▶<br>Number of states where property subject to conservation ease | amont is located          |                         |                 |                        |            |
| 5  | Does the organization have a written policy regarding the perior       |                           | action handling of      |                 |                        |            |
| 3  | violations, and enforcement of the conservation easements it           | • • •                     |                         |                 | Yes                    | □ No       |
| 6  | Staff and volunteer hours devoted to monitoring, inspecting, h         |                           |                         |                 |                        |            |
| Ū  | b  | iarialing or violations,  | and emororing cons      | or vacion caser | nonto during the ye    | Jui        |
| 7  | Amount of expenses incurred in monitoring, inspecting, handli          | ing of violations, and    | enforcing conservat     | ion easements   | s during the year      |            |
| •  | \$   | ing or violations, and    | critorolling cortocrvat | ion casement    | daning the year        |            |
| 8  | Does each conservation easement reported on line 2(d) above            | satisfy the requireme     | ents of section 170(h   | n)(4)(B)(i)     |                        |            |
| •  | and section 170(h)(4)(B)(ii)?  | , ,                       | •                       | , , , , , , ,   | Yes                    | □ No       |
| 9  | In Part XIII, describe how the organization reports conservatio        |                           |                         |                 |                        |            |
| _  | balance sheet, and include, if applicable, the text of the footnot     |                           | •                       |                 |                        |            |
|    | organization's accounting for conservation easements.                  | <b>9-</b>                 |                         |                 |                        |            |
| Pa | rt III Organizations Maintaining Collections of                        | Art, Historical T         | reasures, or Otl        | ner Similar     | Assets.                |            |
|    | Complete if the organization answered "Yes" on Form                    | 990, Part IV, line 8.     |                         |                 |                        |            |
| 1a | If the organization elected, as permitted under FASB ASC 958           | 3, not to report in its r | evenue statement ar     | nd balance sh   | eet works              |            |
|    | of art, historical treasures, or other similar assets held for publ    |                           |                         |                 |                        |            |
|    | service, provide in Part XIII the text of the footnote to its finance  | cial statements that o    | escribes these items    | S.              |                        |            |
| b  | If the organization elected, as permitted under FASB ASC 958           | 3, to report in its rever | nue statement and b     | alance sheet    | works of               |            |
|    | art, historical treasures, or other similar assets held for public     | exhibition, education     | or research in furth    | erance of pub   | lic service,           |            |
|    | provide the following amounts relating to these items:                 |                           |                         |                 |                        |            |
|    | (i) Revenue included on Form 990, Part VIII, line 1                    |                           |                         | > \$            | S                      |            |
|    |  |                           |                         |                 |                        |            |
| 2  | If the organization received or held works of art, historical trea     |                           |                         |                 |                        |            |
|    | the following amounts required to be reported under FASB AS            |                           |                         |                 |                        |            |
| а  | Revenue included on Form 990, Part VIII, line 1                        | -                         |                         | > \$            | S                      |            |
| b  | Assets included in Form 990, Part X                                    |                           |                         |                 | 3                      |            |
|    | For Paperwork Reduction Act Notice, see the Instructions               |                           |                         |                 | Schedule D (Form       | 990) 2020  |

032051 12-01-20

|          |        | AMERICAI   | N INDIAN SC                           | CIENCE AND               |                   |           |                  |                |           |
|----------|--------|--|---------------------------------------|--------------------------|-------------------|-----------|------------------|----------------|-----------|
|          |        | (Form 990) 2020 <b>ENGINEE</b>   | RING SOCIET                           | ľY                       |                   |           | 73-1             | 023474         | Page 2    |
| Par      | t III  | Organizations Maintaining C  | ollections of Art                     | t, Historical Tre        | asures, or C      | ther S    | imilar Asse      | ets (continu   | ed)       |
| 3        | Using  | the organization's acquisition, accession                                | on, and other records                 | s, check any of the f    | ollowing that ma  | ake signi | ficant use of it | S              |           |
|          | collec | ction items (check all that apply):                                      |                                       |                          |                   |           |                  |                |           |
| а        |        | Public exhibition  | d                                     | Loan or exc              | hange program     |           |                  |                |           |
| b        |        | Scholarly research   | е                                     | Other                    |                   |           |                  |                |           |
| С        |        | Preservation for future generations                                      |                                       |                          |                   |           |                  |                |           |
| 4        | Provi  | de a description of the organization's co                                | llections and explain                 | how they further th      | e organization's  | exempt    | purpose in Pa    | art XIII.      |           |
| 5        | Durin  | g the year, did the organization solicit or                              | r receive donations o                 | of art, historical treas | sures, or other s | imilar as | sets             |                |           |
|          |        | sold to raise funds rather than to be ma                                 |                                       |                          |                   |           |                  | Yes            | No        |
| Par      | t IV   | Escrow and Custodial Arrang  |                                       | ete if the organizatio   | n answered "Ye    | s" on Fo  | rm 990, Part I   | V, line 9, or  |           |
|          |        | reported an amount on Form 990, Par                                      | · · · · · · · · · · · · · · · · · · · |                          |                   |           |                  |                |           |
| 1a       |        | e organization an agent, trustee, custodia                               |                                       | •                        |                   |           |                  |                |           |
|          |        | orm 990, Part X?   |                                       |                          |                   |           | l                | Yes            | No        |
| b        | If "Ye | es," explain the arrangement in Part XIII a                              | and complete the foll                 | lowing table:            |                   |           |                  |                |           |
|          |        |  |                                       |                          |                   |           |                  | Amount         |           |
|          |        | nning balance  |                                       |                          |                   |           | 1c               |                |           |
|          |        | ions during the year   |                                       |                          |                   |           | 1d               |                |           |
| _        |        | butions during the year  |                                       |                          |                   |           | 1e               |                |           |
| f        |        | ng balance   |                                       |                          |                   |           |                  |                |           |
|          |        | ne organization include an amount on Fo                                  |                                       |                          |                   | •         | L                | Yes            | No No     |
| Par      |        | es," explain the arrangement in Part XIII.  Endowment Funds. Complete it |                                       |                          |                   |           |                  |                |           |
| <u> </u> | • •    | Zilaewiielit i aliae. Complete ii  | (a) Current year                      | (b) Prior year           | (c) Two years b   |           | Three years bad  | ck (e) Four y  | oare back |
| 10       | Pogir  | nning of year balance  | 579,114.                              | 493,845.                 | 533,7             |           | 506,68           |                | 64,871.   |
|          |        |  | 3,3,111.                              | 62,278.                  | 10,0              |           | 300,00           |                | 20,256.   |
|          |        | ributionsnvestment earnings, gains, and losses                           | -30,344.                              | 80,137.                  | -31,3             |           | 48,85            | -              |           |
|          |        | ts or scholarships   |                                       | ,                        | ,-                |           | ,                | <del>- '</del> |           |
|          |        | r expenditures for facilities  |                                       |                          |                   |           |                  |                | -         |
| Ŭ        |        | programs   | 16,501.                               | 57,146.                  | 18,5              | 63.       | 21,76            | 5.             | 19,941.   |
| f        |        | nistrative expenses  | ,                                     | ,                        | ,                 |           | ,                |                | 58,500.   |
|          |        | of year balance  | 532,269.                              | 579,114.                 | 493,8             | 45.       | 533,77           |                | 06,686.   |
| 2        |        | de the estimated percentage of the curre                                 | ent vear end balance                  | e (line 1g. column (a)   | ) held as:        |           | •                |                |           |
|          |        | d designated or quasi-endowment  | 11.0100                               | %                        | ,                 |           |                  |                |           |
|          |        | anent endowment > 24.4200  | %                                     | _                        |                   |           |                  |                |           |
| С        | Term   | endowment ► 64.5700 g  | <del></del><br>%                      |                          |                   |           |                  |                |           |
|          |        | percentages on lines 2a, 2b, and 2c shou                                 | uld equal 100%.                       |                          |                   |           |                  |                |           |
| За       | Are th | nere endowment funds not in the posses                                   | ssion of the organiza                 | tion that are held ar    | d administered    | for the o | rganization      | _              |           |
|          | by:    |  |                                       |                          |                   |           |                  | Y              | es No     |
|          | (i) L  | Inrelated organizations  |                                       |                          |                   |           |                  | 3a(i)          | X         |
|          | (ii) F | Related organizations  |                                       |                          |                   |           |                  | 3a(ii)         | X         |
| b        | If "Ye | es" on line 3a(ii), are the related organiza                             | tions listed as require               | ed on Schedule R?        |                   |           |                  | 3b             |           |
| 4        |        | ribe in Part XIII the intended uses of the                               |                                       | wment funds.             |                   |           |                  |                |           |
| Par      | t VI   | Land, Buildings, and Equipm  |                                       |                          |                   |           |                  |                |           |
|          |        | Complete if the organization answered                                    | d "Yes" on Form 990                   |                          |                   |           |                  |                |           |
|          |        | Description of property  | (a) Cost or ot                        | , ,                      | or other          | ` '       | ımulated         | (d) Book       | value     |
|          |        |  | basis (investm                        | nent) basis              | (other)           | depre     | ciation          |                |           |
|          |        |  | l l                                   |                          |                   |           |                  |                |           |
|          |        | ings   |                                       |                          | 0 001             |           | 0 001            |                |           |
| С        | Lease  | ehold improvements   |                                       | 3                        | 0,091.            | 3         | 0,091.           |                | 0.        |

Schedule D (Form 990) 2020

0.

6,500.

6,500.

e Other

164,555.

6,500.

d Equipment

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| Part VII Invest     | ments - Ot | her Securities | <b>5.</b> |          |      |
|---------------------|------------|----------------|-----------|----------|------|
| Schedule D (Form 99 | 0) 2020    | ENGINEER       | ING SOC   | CETY     |      |
|                     |            | AMERICAN       | TNDTAN    | PCIFINCE | AIV. |

| (a) Description of security or category (including name of security)                      | (b) Book value                            | (c) Method of valuation: Cost or er         | d-of-year market value |
|---|---|---|------------------------|
| (1) Financial derivatives   |   |   |                        |
| (2) Closely held equity interests   |   |   |                        |
| (3) Other   |   |   |                        |
| (A)   |   |   |                        |
| (B)   |   |   |                        |
| (C)   |   |   |                        |
| (D)   |   |   |                        |
| (E)   |   |   |                        |
| (F)   |   |   |                        |
| (G)   |   |   |                        |
| (H)   |   |   |                        |
| Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶                        |   |   |                        |
| Part VIII Investments - Program Related.  |   |   |                        |
| Complete if the organization answered "Yes" of  | on Form 990, Part IV, line                | e 11c. See Form 990, Part X, line 13.       |                        |
| (a) Description of investment   | (b) Book value                            | (c) Method of valuation: Cost or en         | d-of-year market value |
| (1)   |   |   |                        |
| (2)   |   |   |                        |
| (3)   |   |   |                        |
| (4)   |   |   |                        |
| (5)   |   |   |                        |
| (6)   |   |   |                        |
| (7)   |   |   |                        |
| (8)   |   |   |                        |
| (9)   |   |   |                        |
| Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ►  Part IX Other Assets. | on Farm COO Bart IV line                  | 11d Coo Fours 000 Part V line 15            |                        |
| Complete if the organization answered "Yes" o   | on Form 990, Part IV, line<br>Description | e 11d. See Form 990, Part X, line 15.       | (b) Book value         |
|   | Description                               |   | (b) Dook value         |
| <u>(1)</u>  |   |   |                        |
| (2)   |   |   |                        |
| (3)   |   |   |                        |
| <u>(4)</u>  |   |   |                        |
| (5)   |   |   |                        |
| <u>(6)</u>  |   |   |                        |
| (7)   |   |   |                        |
| (8)   |   |   |                        |
| (9)   | 4= )                                      |   |                        |
| Total. (Column (b) must equal Form 990. Part X. col. (B) line  Part X Other Liabilities.  | •   |   | ·1                     |
| Complete if the organization answered "Yes" of  | on Form 990, Part IV, line                | e TTE or TTT. See Form 990, Part X, line 25 |                        |
| 1. (a) Description of liability   |   |   | (b) Book value         |
| (1) Federal income taxes  |   |   | C4 254                 |
| (2) AGENCY LIABILITIES  |   |   | 64,354.                |
| (3)   |   |   |                        |
| (4)   |   |   |                        |
| (5)   |   |   |                        |
|   |   |   |                        |
| (6)   |   |   |                        |
| (6)<br>(7)  |   |   |                        |
| (6)<br>(7)<br>(8)   |   |   |                        |
| (6)<br>(7)  |   |   | 64,354.                |

032053 12-01-20

Schedule D (Form 990) 2020

|            |                   |                   | J                   |                   |
|------------|-------------------|-------------------|---------------------|-------------------|
| Schedule D | (Form 990) 2020   | ENGINEERING       | SOCIETY             |                   |
| Dart YI    | Peconciliation of | F Davanua nar Aud | ited Einancial Stat | amente With Davon |

| Pai  | Reconciliation of Revenue per Audited Financial Stater  |                 | n Revenue per Re          | turn.        |                          |  |  |
|--|---|-----------------|---------------------------|--------------|--------------------------|--|--|
|  | Complete if the organization answered "Yes" on Form 990, Part IV, line 1  |                 |                           |              | 7,931,493.               |  |  |
| 1  |   |                 |                           | 1            | 1,931,493.               |  |  |
| 2  | Amounts included on line 1 but not on Form 990, Part VIII, line 12:   | اما             | 6E 000                    |              |                          |  |  |
| a  | Net unrealized gains (losses) on investments  |                 | -65,908.                  |              |                          |  |  |
| b  | Donated services and use of facilities  |                 | 2,309,043.                |              |                          |  |  |
| С  | Recoveries of prior year grants   |                 | 2 100                     |              |                          |  |  |
| d  | Other (Describe in Part XIII.)  | 2d              | -3,166.                   |              | 0 000 000                |  |  |
| е  | Add lines 2a through 2d   |                 |                           | 2e           | 2,239,969.<br>5,691,524. |  |  |
| 3  | Subtract line 2e from line 1  |                 |                           | 3            | 5,691,524.               |  |  |
| 4  | Amounts included on Form 990, Part VIII, line 12, but not on line 1:  | 1 1             |                           |              |                          |  |  |
| а  | Investment expenses not included on Form 990, Part VIII, line 7b  |                 |                           |              |                          |  |  |
| b  | Other (Describe in Part XIII.)  | 4b              | 25,538.                   |              |                          |  |  |
|  | Add lines 4a and 4b   |                 |                           | 4c           | 25,538.                  |  |  |
| 5  | Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)  t XII   Reconciliation of Expenses per Audited Financial State |                 |                           | 5            | 5,717,062.               |  |  |
| Pa   |   |                 | th Expenses per F         | (etur        | n.                       |  |  |
|  | Complete if the organization answered "Yes" on Form 990, Part IV, line 1  |                 |                           | 1 1          | E 006 422                |  |  |
| 1  | Total expenses and losses per audited financial statements  |                 |                           | 1            | 7,986,433.               |  |  |
| 2  | Amounts included on line 1 but not on Form 990, Part IX, line 25:   | 1 1             | 0 000 040                 |              |                          |  |  |
| а  | Donated services and use of facilities  | 2a              | 2,309,043.                |              |                          |  |  |
| b  | Prior year adjustments  | 2b              |                           |              |                          |  |  |
| С  | Other losses  | 2c              |                           |              |                          |  |  |
| d  | Other (Describe in Part XIII.)  | 2d              | 475.                      |              |                          |  |  |
| е  | Add lines 2a through 2d   |                 |                           | 2e           | 2,309,518.<br>5,676,915. |  |  |
| 3  | Subtract line 2e from line 1  |                 |                           | 3            | 5,676,915.               |  |  |
| 4  | Amounts included on Form 990, Part IX, line 25, but not on line 1:  |                 |                           |              |                          |  |  |
| а  | Investment expenses not included on Form 990, Part VIII, line 7b  | 4a              |                           |              |                          |  |  |
| b  | Other (Describe in Part XIII.)  | 4b              | 19,678.                   |              |                          |  |  |
|  | Add lines 4a and 4b   |                 |                           | 4c           | 19,678.                  |  |  |
| 5  | Total expenses. Add lines 3 and 4c. (This must equal Form 990. Part I. line 18.)  |                 |                           | 5            | 5,696,593.               |  |  |
| Pa   | t XIII Supplemental Information.  |                 |                           |              |                          |  |  |
| Prov   | de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; F  | Part IV, lines  | 1b and 2b; Part V, line 4 | ; Part 2     | X, line 2; Part XI,      |  |  |
| lines  | 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any a  | additional info | ormation.                 |              |                          |  |  |
|  |   |                 |                           |              |                          |  |  |
|  |   |                 |                           |              |                          |  |  |
| PAI  | T V, LINE 4:  |                 |                           |              |                          |  |  |
| 3 T (  | HEG! TANDOUREDAM THAND / MILE TANDOUREDAM) GOALGE   | аша оп          | 3 DDD 0 V T W 3 M D       | T 37         | <b>-</b>                 |  |  |
| AI   | ES' ENDOWMENT FUND (THE ENDOWMENT) CONSI  | STS OF          | APPROXIMATE               | ЬΥ           | 5                        |  |  |
| ТМТ  | TUTDINI FINDS FORNDI TOUED DV DONODS WITHU  | DOMOD           |                           | с п          |                          |  |  |
| <u>TM1</u>                                     | IVIDUAL FUNDS ESTABLISHED BY DONORS WITH  | DONOR           | RESTRICTION               | S 10         | O PROVIDE                |  |  |
| 7. T.T.N                                       | HIAI EHNDING EOD GOUOLADGUID AWADDG AND G   | ENTED AT        |                           |              |                          |  |  |
| AMI  | UAL FUNDING FOR SCHOLARSHIP AWARDS AND G  | CNCKAL          | OPERATIONS.               |              |                          |  |  |
|  |   |                 |                           |              |                          |  |  |
|  |   |                 |                           |              |                          |  |  |
| ם אם   | T Y LINE 2.   |                 |                           |              |                          |  |  |
| LVI  | T X, LINE 2:  |                 |                           |              |                          |  |  |
| ΔTS  | ES IS EXEMPT FROM FEDERAL INCOME TAX UND  | ER SEC          | TTON 501(C)(              | 3) (         | ог тнг                   |  |  |
| 7111   | 110 IO DADMII IROM I DDDRAD INCOMD IAA OND  | DIC DIC         | 1101 301(0)(              | <i>5</i> / · | 01 11111                 |  |  |
| TN   | ERNAL REVENUE CODE AND IS NOT CLASSIFIED  | AS A            | PRIVATE ORGA              | NT 7.        | АТТОМ.                   |  |  |
|  | THE REVERSE CODE THE TO NOT CHIEFTITE   | 110 11          | INIVIII ORGII             |              | 11110111                 |  |  |
| AIS  | ES HAS ADOPTED ACCOUNTING PRINCIPLES GEN  | ERALLY          | ACCEPTED IN               | TH           | E UNITED                 |  |  |
|  |   |                 |                           |              |                          |  |  |
| STA  | TES OF AMERICA, AS THEY RELATE TO UNCERT  | AIN TA          | X POSITIONS.              | MA           | NAGEMENT                 |  |  |
|  | ,   |                 |                           |              | <u> </u>                 |  |  |
| BEI  | IEVES THAT ALL ACTIVITIES OF AISES ARE W  | ITHIN '         | THEIR TAX-EX              | EMP'         | T PURPOSE,               |  |  |
|  |   |                 |                           |              |                          |  |  |
| AND THAT THERE ARE NO UNCERTAIN TAX POSITIONS. |   |                 |                           |              |                          |  |  |

| Schedule D (Form 990) 2020 ENGINEERING SOCIETY 73-1               | 023474 Page 5 |
|---|---------------|
| Part XIII   Supplemental Information (continued)                  |               |
| DADE VI IINE 2D OEUED ADTICEMENTS.                                |               |
| PART XI, LINE 2D - OTHER ADJUSTMENTS:                             | 2 162         |
| UNREALIZED LOSS ON INVESTMENT IN SUBSIDIARY                       | -3,163.       |
| ROUNDING  | -3.           |
| TOTAL TO SCHEDULE D, PART XI, LINE 2D                             | -3,166.       |
|   |               |
| PART XI, LINE 4B - OTHER ADJUSTMENTS:                             |               |
| COST OF SALES REPORTED AS EXPENSE IN FINANCIAL STMTS              | -475.         |
| CHAPTER ORGANIZATIONS REVENUES                                    | 26,013.       |
| FUNDRAISING EXPENSES NETTED WITH GROSS RECEIPTS ON STMT OF REVENU | E             |
| GAMING EXPENSES NETTED WITH GROSS REVENUES ON STMT OF REVENUE     |               |
| TOTAL TO SCHEDULE D, PART XI, LINE 4B                             | 25,538.       |
| ,                           |               |
| PART XII, LINE 2D - OTHER ADJUSTMENTS:                            |               |
| COST OF SALES NETTED WITH GROSS SALES ON STMT OF REVENUE          | 475.          |
| FUNDRAISING EXPENSES NETTED WITH GROSS RECEIPTS ON STMT OF REVENU | E             |
| GAMING EXPENSES NETTED WITH GROSS REVENUES ON STMT OF REVENUE     |               |
|   |               |
| PART XII, LINE 4B - OTHER ADJUSTMENTS:                            |               |
| CHAPTER ORGANIZATIONS EXPENSES                                    | 19,678.       |
| ROUNDING  |               |
|   |               |
|   |               |
|   |               |
|   |               |
|   |               |
|   |               |
|   |               |
|   |               |

#### SCHEDULE I (Form 990)

Department of the Treasury Internal Revenue Service

Name of the organization

# **Grants and Other Assistance to Organizations, Governments, and Individuals in the United States**

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

Attach to Form 990.

► Go to www.irs.gov/Form990 for the latest information.

AMERICAN INDIAN SCIENCE AND

2020

OMB No. 1545-0047

Open to Public Inspection

Employer identification number

Schedule I (Form 990) 2020

| ENGINEERI   | NG SOCIET                         | Y                                  |                          |                                   |  |                                       | 73-1023474                         |
|---|-----------------------------------|------------------------------------|--------------------------|-----------------------------------|--|---------------------------------------|------------------------------------|
| Part I General Information on Grants a  | and Assistance                    |                                    |                          |                                   |  | •                                     |                                    |
| 1 Does the organization maintain records  | to substantiate the               | amount of the grants               | or assistance, the       | grantees' eligibility             | for the grants or assi   | stance, and the selection             |                                    |
| criteria used to award the grants or assi   | stance?                           |                                    |                          |                                   |  |                                       | X Yes No                           |
| 2 Describe in Part IV the organization's presented in Part IV the organization of the present | ocedures for monit                | oring the use of grant             | funds in the United      | States.                           |  |                                       |                                    |
| Part II Grants and Other Assistance to  | _                                 |                                    |                          |                                   | anization answered "\  | es" on Form 990, Part I               | V, line 21, for any                |
| recipient that received more than   |                                   |                                    |                          |                                   | (6) Mathead of   |                                       |                                    |
| (a) Name and address of organization<br>or government   | <b>(b)</b> EIN                    | (c) IRC section<br>(if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of<br>valuation (book,<br>FMV, appraisal,<br>other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|   |                                   |                                    |                          |                                   |  |                                       |                                    |
|   |                                   |                                    |                          |                                   |  |                                       |                                    |
|   |                                   |                                    |                          |                                   |  |                                       |                                    |
|   |                                   |                                    |                          |                                   |  |                                       |                                    |
|   |                                   |                                    |                          |                                   |  |                                       |                                    |
|   |                                   |                                    |                          |                                   |  |                                       |                                    |
| 2 Enter total number of section 501(c)(3) a   | -                                 | -                                  | e line 1 table           |                                   |  |                                       | <b>&gt;</b>                        |
| 3 Enter total number of other organization  | s listed in the line <sup>1</sup> | 1 table                            |                          |                                   |  |                                       |                                    |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

| Schedule I (Form 990) 2020 ENGINEERING SC   | OCIETY                   |                            |                                       |   | 73-1023474                 | Page       |
|---|--------------------------|----------------------------|---------------------------------------|---|----------------------------|------------|
| Part III Grants and Other Assistance to Domestic Individu Part III can be duplicated if additional space is neede |                          | e organization answe       | ered "Yes" on Form 9                  | 990, Part IV, line 22.                                |                            |            |
| (a) Type of grant or assistance   | (b) Number of recipients | (c) Amount of cash grant   | (d) Amount of non-<br>cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of noncash | assistance |
|   |                          |                            |                                       |   |                            |            |
| SCHOLARSHIPS  | 725                      | 937,750.                   | 0.                                    |   |                            |            |
|   |                          |                            |                                       |   |                            |            |
| SCHOLARSHIPS-CHAPTERS   | 4                        | 10,050.                    | 0.                                    |   |                            |            |
|   |                          |                            |                                       |   |                            |            |
|   |                          |                            |                                       |   |                            |            |
|   |                          |                            |                                       |   |                            |            |
|   |                          |                            |                                       |   |                            |            |
|   |                          |                            |                                       |   |                            |            |
| Part IV Supplemental Information. Provide the information   | required in Part I, lir  | <br>ne 2; Part III, column | (b); and any other ac                 | dditional information.                                |                            |            |
| PART I, LINE 2  |                          |                            |                                       |   |                            |            |
| \$42,500 IN SCHOLARSHIPS WERE PAIR  | DIRECTLY                 | TO THE EDU                 | JCATION INS                           | TITUTION  |                            |            |
| OF THE RECIPIENT FOR APPLICATION  | AGAINST TH               | E RECIPIEN                 | NT'S TUITIO                           | N AND   |                            |            |
| FEES AND \$905,300 IN SCHOLARSHIPS  | WERE PAIL                | DIRECTLY                   | TO THE REC                            | IPIENT  |                            |            |
| FOR PAYMENT OF TUITION AND FEES.  |                          |                            |                                       |   |                            |            |
|   |                          |                            |                                       |   |                            |            |
|   |                          |                            |                                       |   |                            |            |
|   |                          |                            |                                       |   |                            |            |

#### SCHEDULE J (Form 990)

Department of the Treasury

**Compensation Information** 

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

➤ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

➤ Attach to Form 990.

**2020** 

OMB No. 1545-0047

Open to Public Inspection

Internal Revenue Service Name of the organization

Part I Questions Regarding Compensation

► Go to www.irs.gov/Form990 for instructions and the latest information.

AMERICAN INDIAN SCIENCE AND

ENGINEERING SOCIETY

 $Employer\ identification\ number\\ 73-1023474$ 

|    |  |    | Yes | No       |
|----|--|----|-----|----------|
| 1a | Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, |    |     |          |
|    | Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.             |    |     |          |
|    | First-class or charter travel  |    |     |          |
|    | Travel for companions Payments for business use of personal residence  |    |     |          |
|    | Tax indemnification and gross-up payments  Health or social club dues or initiation fees                               |    |     |          |
|    | Discretionary spending account Personal services (such as maid, chauffeur, chef)                                       |    |     |          |
|    |  |    |     |          |
| b  | If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or          |    |     |          |
|    | reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain               | 1b |     |          |
| 2  | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors,       |    |     |          |
|    | trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?                  | 2  |     |          |
|    |  |    |     |          |
| 3  | Indicate which, if any, of the following the organization used to establish the compensation of the organization's     |    |     |          |
|    | CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to     |    |     |          |
|    | establish compensation of the CEO/Executive Director, but explain in Part III.   |    |     |          |
|    | Compensation committee X Written employment contract   |    |     |          |
|    | Independent compensation consultant  X  Compensation survey or study   |    |     |          |
|    | Form 990 of other organizations  X Approval by the board or compensation committee                                     |    |     |          |
|    |  |    |     |          |
| 4  | During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing           |    |     |          |
|    | organization or a related organization:  |    |     |          |
| а  | Receive a severance payment or change-of-control payment?  | 4a |     | X        |
| b  | Participate in or receive payment from a supplemental nonqualified retirement plan?                                    | 4b |     | X        |
| С  | Participate in or receive payment from an equity-based compensation arrangement?                                       | 4c |     | X        |
|    | If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.          |    |     |          |
|    |  |    |     |          |
|    | Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.                               |    |     |          |
| 5  | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation      |    |     |          |
|    | contingent on the revenues of:   |    |     |          |
| а  | The organization?  | 5a |     | X        |
|    | Any related organization?  | 5b |     | _X_      |
|    | If "Yes" on line 5a or 5b, describe in Part III.   |    |     |          |
| 6  | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation      |    |     |          |
|    | contingent on the net earnings of:   |    |     |          |
| а  | The organization?  | 6a |     | <u> </u> |
| b  | Any related organization?  | 6b |     | X        |
|    | If "Yes" on line 6a or 6b, describe in Part III.   |    |     |          |
| 7  | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments       |    |     |          |
|    | not described on lines 5 and 6? If "Yes," describe in Part III   | 7  |     | X        |
| 8  | Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the        |    |     |          |
|    | initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III            | 8  |     | X        |
| 9  | If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in                 |    |     |          |
|    | Regulations section 53 (1958-6/c)2   | ۱۵ |     |          |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2020

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title      |             | (B) Breakdown of         | W-2 and/or 1099-MI                  | SC compensation                     | (C) Retirement and other deferred | (D) Nontaxable | (E) Total of columns | (F) Compensation   |
|-------------------------|-------------|--------------------------|-------------------------------------|-------------------------------------|-----------------------------------|----------------|----------------------|--|
|                         |             | (i) Base<br>compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | compensation                      | benefits       | (B)(i)-(D)           | in column (B)<br>reported as deferred<br>on prior Form 990 |
| (1) SARAH ECHOHAWK      | (i)         | 231,496.                 | 0.                                  | 0.                                  | 0.                                | 11,457.        | 242,953.             | 0.   |
| CHIEF EXECUTIVE OFFICER | (ii)        | 0.                       | 0.                                  | 0.                                  | 0.                                | 0.             | 0.                   | 0.   |
|                         | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)<br>(i) |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)<br>(ii) |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)<br>(ii) |                          |                                     |                                     |                                   |                |                      |  |
| -                       | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |
|                         | (i)         |                          |                                     |                                     |                                   |                |                      |  |
|                         | (ii)        |                          |                                     |                                     |                                   |                |                      |  |

| Tart in Supplemental information   |
|--|
| Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information. |
| PART I, LINE 3:  |
| OFFICER COMPENSATION IS DERIVED BASED ON SURVEYS OF LOCAL AS WELL AS   |
| NATIONAL NON-PROFIT SALARY SCALES. THE SALARY SURVEYS/SCALES ARE BASED ON  |
| DIRECT REQUESTS OF DATA, AS WELL AS INFORMATION PUBLICLY AVAILABLE ON  |
| GUIDESTAR.ORG. ALL NEW POSITIONS AND SALARIES ARE DISCUSSED/APPROVED AT THE  |
| BOARD OF DIRECTORS LEVEL. OFFICERS ARE FURTHER INTERVIEWED BY BOTH   |
| PROFESSIONAL STAFF AND BOARD OF DIRECTOR MEMBERS. MEDIAN FIGURES FOR BOTH  |
| LOCAL AND NATIONAL PAY ARE USUALLY TARGETED, UNLESS CANDIDATE PROFILES AND   |
| EDUCATIONAL BACKGROUNDS EXCEED THE MEDIAN FIGURES, THEN A SLIGHTLY ELEVATED  |
| PAY SCALE MAY BE CONSIDERED AND BUDGETED FOR. THE DATA USED TO DERIVE  |
| COMPENSATION FOR OFFICERS IS AVAILABLE FOR REVIEW UPON REQUEST.  |
|  |
|  |
|  |
|  |
|  |
|  |
|  |

#### SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

# Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

Attach to Form 990 or 990-EZ.

Go to www.irs.gov/Form990 for the latest information.

Open to Public Inspection

OMB No. 1545-0047

Name of the organization

AMERICAN INDIAN SCIENCE AND ENGINEERING SOCIETY

Employer identification number 73-1023474

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE AMERICAN INDIAN SCIENCE AND ENGINEERING SOCIETY (AISES) IS A

NATIONAL, NONPROFIT ORGANIZATION FOCUSED ON SUBSTANTIALLY INCREASING

THE REPRESENTATION OF AMERICAN INDIANS, ALASKA NATIVES, NATIVE

HAWAIIANS, PACIFIC ISLANDERS, FIRST NATIONS AND OTHER INDIGENOUS

PEOPLES OF NORTHER AMERICA IN SCIENCE, TECHNOLOGY, ENGINEERING AND MATH

(STEM) STUDIES AND CAREERS.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS: SCHOLARSHIPS - AISES SCHOLARSHIPS ARE AWARDED TO UNDERGRADUATE AND GRADUATE STUDENT MEMBERS OF AISES FOR LEADERSHIP AND ACADEMIC ACHIEVEMENT. AISES ADMINISTERS THE FOLLOWING SCHOLARSHIPS: THE ADVANCING AGRICULTURAL SCIENCE OPPORTUNITIES FOR NATIVE AMERICANS (AASONA) SCHOLARSHIP, THE ARISTOCRAT/VGT SCHOLARSHIP, THE A.T. ANDERSON MEMORIAL SCHOLARSHIPS (VARIOUS DONORS), THE BURLINGTON NORTHERN SANTA FE FOUNDATION SCHOLARSHIP,  $ext{THE}$ CHEVRON SCHOLARSHIP, THE EXXON MOBIL GEOSCIENCES SUMMER FIELDWORK SCHOLARSHIP, THE INTEL GROWING THE LEGACY THE INTEL NEXTGEN NATIVE CODERS SCHOLARSHIP, LEADERSHIP SCHOLARSHIP, SUMMIT TRAVEL SCHOLARSHIPS (VARIOUS DONORS), THE NAVAL SEA SYSTEMS COMMAND (NAVSEA) SCHOLARSHIP, THE ORACLE ACADEMY SCHOLARSHIP, AND NATIONAL CONFERENCE TRAVEL SCHOLARSHIPS (VARIOUS DONORS). AISES AWARDED 725 SCHOLARSHIPS TOTALING \$937,750 IN 2020.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

NATIONAL CONFERENCE THE ANNUAL NATIONAL CONFERENCE IS AISES' MAJOR

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) 2020

Name of the organization AMERICAN INDIAN SCIENCE AND **Employer identification number** 73-1023474 ENGINEERING SOCIETY EVENT FOR ITS CONSTITUENTS. THE EVENT OFFERS COMPANIES A UNIQUE FORUM FOR RECRUITING INDIGENOUS STUDENTS AND PROFESSIONALS AS THE AISES NATIONAL CONFERENCE HOSTS THE LARGEST COLLEGE AND CAREER FAIR FOR INDIGENOUS STUDENTS IN THE NATION. APPROXIMATELY 2,000 PEOPLE ATTEND THE CONFERENCE EACH YEAR, WITH ABOUT HALF OF THOSE BEING NATIVE AMERICAN, ALASKA NATIVE, NATIVE HAWAIIANS, PACIFIC ISLANDER AND OTHER INDIGENOUS HIGH SCHOOL AND COLLEGE STUDENTS. IN 2020, THE NATIONAL CONFERENCE GENERATED \$981,340 IN CONTRIBUTIONS AND SPONSORSHIPS THAT WERE NOT INCLUDED IN PROGRAM SERVICE REVENUE ON LINE 4A PER IRS INSTRUCTIONS. INCLUDING CONTRIBUTIONS AND SPONSORSHIPS, THE CONFERENCE GENERATED A NET INCOME OF \$772,071. EXPENSES \$ 620,922. INCLUDING GRANTS OF \$ 0. REVENUE \$ 269,103. PUBLISHING AISES PRODUCES AND DISTRIBUTES THE AISES QUARTERLY MAGAZINE WINDS OF CHANGE (WOC). WOC IS A FREE PUBLICATION OFFERED TO AISES MEMBERS, AND OTHERS AS REQUESTED. THIS PUBLICATION SUPPORTS AISES' MISSION BY OFFERING EDUCATIONAL AND CAREER OPPORTUNITIES TO ITS READERS. EXPENSES \$ 314,743. INCLUDING GRANTS OF \$ 0. REVENUE \$ 375,258. TRAINING AND DEVELOPMENT - THROUGH A VARIETY OF EDUCATIONAL PROGRAMS, AISES OFFERS FINANCIAL, ACADEMIC, AND CULTURAL SUPPORT TO INDIGENOUS PEOPLE FROM THE 5TH GRADE THROUGH GRADUATE SCHOOL. AISES PROVIDES PROFESSIONAL DEVELOPMENT ACTIVITIES TO ENABLE TEACHERS TO WORK EFFECTIVELY WITH INDIGENOUS STUDENTS. AISES BUILDS PARTNERSHIPS WITH TRIBES, SCHOOLS, AND OTHER NOT-FOR-PROFIT ORGANIZATIONS, CORPORATIONS, FOUNDATIONS AND GOVERNMENT AGENCIES TO REALIZE ITS GOALS. EXPENSES \$ 184,088. INCLUDING GRANTS OF \$ 0. REVENUE \$ 117,243. Schedule O (Form 990 or 990-EZ) 2020

NOT WORK TOGETHER, SERVE ON ANY COMMITTEES TOGETHER, NOR DO THEY HAVE ANY

OTHER BUSINESS CONNECTIONS.

Schedule O (Form 990 or 990-EZ) 2020 Page 2 Name of the organization AMERICAN INDIAN SCIENCE AND **Employer identification number** 73-1023474 ENGINEERING SOCIETY FORM 990, PART VI, SECTION A, LINE 6: THE ORGANIZATION HAS MEMBERS. FORM 990, PART VI, SECTION A, LINE 7A: THE ORGANIZATION HAS ELECTIONS FOR THE BOARD OF DIRECTORS. THE CALL FOR NOMINATIONS IS RELEASED TO AISES MEMBERS AND POSTED ON THE WEBSITE. AFTER THE NOMINATION DEADLINE HAS PASSED, A BALLOT ALONG WITH ALL COMPLETE NOMINATIONS ARE COPIED AND E-MAILED AND MAILED OUT TO EACH GENERAL MEMBER OF AISES FOR VOTING. THE BALLOTS ARE MAILED OR E-MAILED BACK TO AISES AND A FORMAL BALLOT RECONCILIATION AND COUNTING PROCESS TAKES PLACE. THE INDIVIDUALS WITH THE MOST MEMBER VOTES ARE ELECTED TO FILL THE VACANT BOARD OF DIRECTORS POSITIONS. FORM 990, PART VI, SECTION A, LINE 7B: THE ORGANIZATION'S MEMBERS MUST APPROVE CHANGES TO THE BY-LAWS. FORM 990, PART VI, SECTION B, LINE 11B: THE COMPLETED FORM 990 WILL FIRST BE PRESENTED TO THE FINANCE COMMITTEE OF THE BOARD OF DIRECTORS FOR REVIEW. UPON THE RECOMMENDATION OF THE FINANCE COMMITTEE, THE FORM 990 WILL THEN BE SUBMITTED TO THE FULL BOARD FOR APPROVAL. FORM 990, PART VI, SECTION B, LINE 12C: THE BOARD OF DIRECTORS ARE REQUIRED TO DISCLOSE ANY CONFLICTS OF INTEREST

CONFLICT.

TO THE CHIEF EXECUTIVE OFFICER EACH YEAR UPON BECOMING AWARE OF THE

Name of the organization AMERICAN INDIAN SCIENCE AND **Employer identification number** ENGINEERING SOCIETY 73-1023474 FORM 990, PART VI, SECTION B, LINE 15: OFFICER COMPENSATION IS DERIVED BASED ON SURVEYS OF LOCAL AS WELL AS NATIONAL NON-PROFIT SALARY SCALES. THE SALARY SURVEYS/SCALES ARE BASED ON DIRECT REQUESTS OF DATA, AS WELL AS INFORMATION PUBLICLY AVAILABLE ON GUIDESTAR.ORG. ALL NEW POSITIONS AND SALARIES ARE DISCUSSED/APPROVED AT THE BOARD OF DIRECTORS LEVEL. OFFICERS ARE FURTHER INTERVIEWED BY BOTH PROFESSIONAL STAFF AND BOARD OF DIRECTOR MEMBERS. MEDIAN FIGURES FOR BOTH LOCAL AND NATIONAL PAY ARE USUALLY TARGETED, UNLESS CANDIDATE PROFILES AND EDUCATIONAL BACKGROUNDS EXCEED THE MEDIAN FIGURES, THEN A SLIGHTLY ELEVATED PAY SCALE MAY BE CONSIDERED AND BUDGETED FOR. THE DATA USED TO DERIVE COMPENSATION FOR OFFICERS IS AVAILABLE FOR REVIEW UPON REQUEST. FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990: NM, AK, AL, AR, CA, CO, CT, DC, FL, GA, HI, IL, KS, KY, MA, MD, ME, MI, MN, MS, NC, ND, NH, NJ, NV NY, OH, OK, OR, PA, RI, SC, TN, UT, VA, WA, WI, WV FORM 990, PART VI, SECTION C, LINE 19: THE ORGANIZATION'S ANNUAL AUDITED FINANCIAL STATEMENTS AND FORM 990S ARE AVAILABLE TO VIEW/DOWNLOAD ON THE ORGANIZATION'S WEBSITE. ANY REQUEST FOR GOVERNING DOCUMENTS OR CONFLICT OF INTEREST POLICY MUST BE MADE IN WRITING TO THE CHIEF EXECUTIVE OFFICER. FORM 990, PART IX, LINE 11G, OTHER FEES: NATIONAL CONFERENCE: PROGRAM SERVICE EXPENSES 225,675. MANAGEMENT AND GENERAL EXPENSES 0. FUNDRAISING EXPENSES 0. TOTAL EXPENSES 225,675.

| Name of the organization AMERICAN INDIAN SCIENCE AND ENGINEERING SOCIETY | Employer identification number 73-1023474 |
|--|---|
| PUBLISHING:  |   |
| PROGRAM SERVICE EXPENSES   | 183,592.                                  |
| MANAGEMENT AND GENERAL EXPENSES  | 0.  |
| FUNDRAISING EXPENSES   | 0.  |
| TOTAL EXPENSES   | 183,592.                                  |
| PRE-COLLEGE PROGRAMS:  |   |
| PROGRAM SERVICE EXPENSES   | 142,105.                                  |
| MANAGEMENT AND GENERAL EXPENSES  | 0.  |
| FUNDRAISING EXPENSES   | 0.  |
| TOTAL EXPENSES   | 142,105.                                  |
| STRATEGIC INITITIVES AND RESEARCH:                                       |   |
| PROGRAM SERVICE EXPENSES   | 166,549.                                  |
| MANAGEMENT AND GENERAL EXPENSES  | 0.  |
| FUNDRAISING EXPENSES   | 0.  |
| TOTAL EXPENSES   | 166,549.                                  |
| OTHER PROFESSIONAL FEES:   |   |
| PROGRAM SERVICE EXPENSES   | 22,615.                                   |
| MANAGEMENT AND GENERAL EXPENSES  | 21,960.                                   |
| FUNDRAISING EXPENSES   | 6,550.                                    |
| TOTAL EXPENSES   | 51,125.                                   |
| TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A                   | 769,046.                                  |
| FORM 990, PART IX, LINE 24E, ALL OTHER FUNCTIONAL EXPENSES               | S:  |
| POSTAGE AND SHIPPING:  | hedule () (Form 990 or 990-FZ) 202        |

| Name of the organization AMERICAN INDIAN SCIENCE AND ENGINEERING SOCIETY | Employer identification number 73-1023474       |
|--|---|
| PROGRAM SERVICE EXPENSES   | 36,934.   |
| MANAGEMENT AND GENERAL EXPENSES  | 2,865.  |
| FUNDRAISING EXPENSES   | 2,431.  |
| TOTAL EXPENSES   | 42,230.   |
| TELEPHONE AND COMMUNICATIONS:  |   |
| PROGRAM SERVICE EXPENSES   | 3,768.  |
| MANAGEMENT AND GENERAL EXPENSES  | 27,794.   |
| FUNDRAISING EXPENSES   | 570.  |
| TOTAL EXPENSES   | 32,132.   |
| DUES AND SUBSCRIPTIONS:  |   |
| PROGRAM SERVICE EXPENSES   | 2,248.  |
| MANAGEMENT AND GENERAL EXPENSES  | 1,586.  |
| FUNDRAISING EXPENSES   | 19,200.   |
| TOTAL EXPENSES   | 23,034.   |
| CHAPTER ORGNIZATION EXPENSES:  |   |
| PROGRAM SERVICE EXPENSES   | 10,574.   |
| MANAGEMENT AND GENERAL EXPENSES  | 6,604.  |
| FUNDRAISING EXPENSES   | 2,500.  |
| TOTAL EXPENSES   | 19,678.   |
| AWARDS AND GIFTS:  |   |
| PROGRAM SERVICE EXPENSES   | 14,725.   |
| MANAGEMENT AND GENERAL EXPENSES  | 0.  |
| FUNDRAISING EXPENSES   | 146.  |
| TOTAL EXPENSES  032212 11-20-20  | 14,871.<br>Schedule O (Form 990 or 990-EZ) 2020 |

| Name of the organization  AMERICAN INDIAN SCIENCE AND  ENGINEERING SOCIETY | Employer identification number 73-1023474 |
|--|---|
| BAD DEBT EXPENSE:  |   |
| PROGRAM SERVICE EXPENSES   | 212.                                      |
| MANAGEMENT AND GENERAL EXPENSES  | 4,497.                                    |
| FUNDRAISING EXPENSES   | 0.  |
| TOTAL EXPENSES   | 4,709.                                    |
| INDIRECT COSTS:  |   |
| PROGRAM SERVICE EXPENSES   | 386,416.                                  |
| MANAGEMENT AND GENERAL EXPENSES  | -413,657.                                 |
| FUNDRAISING EXPENSES   | 27,239.                                   |
| TOTAL EXPENSES   | -2.                                       |
| TOTAL OTHER EXPENSES ON FORM 990, PART IX, LINE 24E, COL A                 | 136,652.                                  |
| FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:                          |   |
| UNREALIZED LOSS ON INVESTMENT IN SUBSIDIARY                                | -3,163.                                   |
| CHAPTER ORGANIZATIONS NOT INCLUDED IN FINANCIAL STMTS                      | -6,335.                                   |
| TOTAL TO FORM 990, PART XI, LINE 9   | -9,498.                                   |
| FORM 990, PART XII, LINE 2C:   |   |
| THE ORGANIZATION DID NOT CHANGE ITS OVERSIGHT PROCESS OR S                 | ELECTION                                  |
| PROCESS DURING THE TAX YEAR.   |   |
|  |   |
|  |   |
|  |   |
|  |   |
|  |   |

#### **SCHEDULE R** (Form 990)

Related Organizations and Unrelated Partnerships

• Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

OMB No. 1545-0047

Name of the organization

Department of the Treasury Internal Revenue Service

AMERICAN INDIAN SCIENCE AND ENGINEERING SOCIETY

**Employer identification number** 73-1023474

| Part I Identification of Disregarded Entities. Complete                         | e if the organization answered "Yes"  | on Form 990, Part IV, line 33                 | 3.                            |                                       |                               |                          |    |  |
|---|---------------------------------------|---|-------------------------------|---------------------------------------|-------------------------------|--------------------------|----|--|
| (a)   | (b)                                   | (c)   | (d)                           | (e)                                   | <b>I</b>                      | (f)                      |    |  |
| Name, address, and EIN (if applicable) of disregarded entity                    | Primary activity                      | Legal domicile (state o<br>foreign country)   | r Total inco                  | me End-of-year                        |                               | controlling<br>entity    | g  |  |
|   |                                       |   |                               |                                       |                               |                          |    |  |
|   |                                       |   |                               |                                       |                               |                          |    |  |
|   |                                       |   |                               |                                       |                               |                          |    |  |
|   |                                       |   |                               |                                       |                               |                          |    |  |
| Part II Identification of Related Tax-Exempt Organizations during the tax year. | tions. Complete if the organization a | answered "Yes" on Form 990                    | , Part IV, line 34, k         | pecause it had one                    | or more related tax-ex        | empt                     |    |  |
| (a)  Name, address, and EIN  of related organization                            | (b) Primary activity                  | (c) Legal domicile (state or foreign country) | (d)<br>Exempt Code<br>section | (e) Public charity status (if section | (f) Direct controlling entity | ct controlling Section 5 |    |  |
|   |                                       | ,,  |                               | 501(c)(3))                            |                               | Yes                      | No |  |
|   |                                       |   |                               |                                       |                               |                          |    |  |
|   |                                       |   |                               |                                       |                               |                          |    |  |
|   |                                       |   |                               |                                       |                               |                          |    |  |
|   |                                       |   |                               |                                       |                               |                          |    |  |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2020

Schedule R (Form 990) 2020

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

|  |                  | <del>,                                      </del> | ı                  | 1  |                |                       |                               |    |                              | _     |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|--|------------------|--|--------------------|--|----------------|-----------------------|-------------------------------|----|------------------------------|-------|--------|-------------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| (a)  | (b)              | (c)  | (d)                | (e)  | (f)            | (g)                   | (1                            | h) | (i)                          | (j    | )      | (k)                     |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Name, address, and EIN of related organization | Primary activity | Legal<br>domicile                                  | Direct controlling | Predominant income   | Share of total | Share of              | Disproportionate allocations? |    | Code V-UBI                   | Gene  | ral or | Percentage<br>ownership |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| of related organization                        |                  | (state or foreign                                  | entity             | (related, unrelated,<br>excluded from tax under<br>sections 512-514) | income         | end-of-year<br>assets |                               |    | amount in box 20 of Schedule | partr | ner?   | ownership               |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  | country)   |                    | sections 512-514)  |                | 466616                | Yes                           | No | K-1 (Form 1065)              | Yes   | No     |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | ]                |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                  |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 1                |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 1                |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 1                |  |                    |  |                |                       |                               |    |                              |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 1                | 1  | 1                  | 1  |                | l                     |                               |    | 1                            |       |        |                         |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a) Name, address, and EIN of related organization                   | <b>(b)</b><br>Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f)<br>Share of total<br>income | (g)<br>Share of<br>end-of-year<br>assets | (h)<br>Percentage<br>ownership | ent | tion<br>b)(13)<br>rolled<br>tity? |
|--|--------------------------------|---|-------------------------------|---|---------------------------------|--|--------------------------------|-----|-----------------------------------|
| AISES PUBLISHING, INC 84-1009435<br>4263 MONTGOMERY BLVD NE, STE 200 |                                |   |                               |   |                                 |  |                                |     |                                   |
| ·  | PUBLISHING                     | NM  | N/A                           | C CORP  | 96,449.                         | 60,252.                                  | 89.90%                         | х   |                                   |
|  |                                |   |                               |   |                                 |  |                                |     |                                   |
|  |                                |   |                               |   |                                 |  |                                |     |                                   |
|  |                                |   |                               |   |                                 |  |                                |     |                                   |

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

Yes No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

| a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity  | /                   |                                      |  | 1a     |   | <u> </u> |  |  |
|--|---------------------|--------------------------------------|--|--------|---|----------|--|--|
| <b>b</b> Gift, grant, or capital contribution to related organization(s)   |                     |                                      |  | 1b     |   | X        |  |  |
| c Gift, grant, or capital contribution from related organization(s)  |                     |                                      |  | 1c     |   | X        |  |  |
|  |                     |                                      |  | 1d     |   | X        |  |  |
|  |                     |                                      |  | 1e     |   | X        |  |  |
|  |                     |                                      |  |        |   |          |  |  |
| b Gitt, grant, or capital contribution to related organization(s) c Gitt, grant, or capital contribution from related organization(s) d Loans or loan guarantees to or for related organization(s) e Loans or loan guarantees to related organization(s)  f Dividends from related organization(s) g Sale of assets to related organization(s) h Purchase of assets to related organization(s) i Exchange of assets thir related organization(s) i Lease of facilities, equipment, or other assets to related organization(s) i Lease of facilities, equipment, or other assets from related organization(s) i Performance of services or membership or fundraising solicitations for related organization(s) n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) s Period of facilities, equipment, mailing lists, or other assets with related organization(s) n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) n Sharing of relatives, equipment, mailing lists, or other assets with related organization(s) n Sharing of relatives, equipment, mailing lists, or other assets with related organization(s) n Sharing of relatives, equipment, mailing lists, or other assets with related organization(s) n Sharing of relatives, equipment, mailing lists, or other assets with related organization(s) n Sharing of relatives, equipment, mailing lists, or other assets with related organization(s) n Sharing of relatives, equipment, mailing lists, or other assets and organization(s) n Performance of services or membership or fundraising solicitations by related organization(s) n Performance of services or membership or fundraising solicitations by related organization(s) n Performance of services or membership or fundraising solicitations for related organization(s) n Performance of services or membership or fundraising solicitations for related organization(s) n Performance of services or m |                     | 1f                                   |  | X      |   |          |  |  |
|  |                     |                                      |  | 1g     |   | X        |  |  |
| h Purchase of assets from related organization(s)  |                     |                                      |  | 1h     |   | X        |  |  |
| i Exchange of assets with related organization(s)  |                     |                                      |  | 1i     |   | X        |  |  |
| j Lease of facilities, equipment, or other assets to related organization(s)   |                     |                                      |  | 1j     |   | X        |  |  |
| b Gift, grant, or capital contribution to related organization(s) c Gift, grant, or capital contribution from related organization(s) d Loans or loan guarantees to rot for related organization(s) 1 f Dividends from related organization(s) g Sale of assests to related organization(s) f Dividends from related organization(s) g Sale of assests from related organization(s) f Dividends from related organization(s) g Sale of assests from related organization(s) g Sale of assests from related organization(s) g Lease of facilities, equipment, or other assets to related organization(s) g Lease of facilities, equipment, or other assets to related organization(s) g Lease of facilities, equipment, or other assets from related organization(s) g Performance of services or membership or fundralsing solicitations for related organization(s) g Performance of services or membership or fundralsing solicitations by related organization(s) g Sharing of paid employees with related organization(s) g Sharing of paid employees with related organization(s) g Reimbursement paid to related organization(s) for expenses g Reimbursement paid by related organization(s) for expenses g Reimbursement paid by related organization(s) for expenses g Reimbursement paid by related organization(s) g Reimbur |                     |                                      |  | X      |   |          |  |  |
| b Giff, grant, or capital contribution to related organization(s)  c Giff, grant, or capital contribution from related organization(s)  d Loans or loan guarantees to or for related organization(s)  E Loans or loan guarantees to or for related organization(s)  f Dividends from related organization(s)  g Sale of assets to related organization(s)  f Dividends from related organization(s)  g Sale of assets to related organization(s)  f Dividends from related organization(s)  g Sale of assets to related organization(s)  f Dividends from related organization(s)  g Sale of assets to related organization(s)  g Sale of assets to related organization(s)  g Sale of assets to related organization(s)  g Sale of assets with related organization(s)  g Performance of services or membership or fundraising solicitations to related organization(s)  g Salaring of facilities, equipment, mailing lists, or other assets with related organization(s)  g Salaring of facilities, equipment, mailing lists, or other assets with related organization(s)  g Salaring of paid employees with related organization(s)  g Reimbursement paid to related organization(s) for expenses  g Reimbursement paid by related organization(s) for expenses  g Reimbursement paid by related organization(s) for expenses  g Reimbursement paid by related organization(s) for expenses  g Reimbursement paid to related organization(s) for expenses  g Reimbursement paid to related organization(s) for expenses  g Reimbursement paid to related organization(s)  g Other transfer of cash or property to melated organization(s)  g Other transfer of cash or property from related organization(s)  g Other transfer of cash or property from related organization(s)  g Other transfer of cash or property from related organization(s)  g Other transfer of cash or |                     |                                      |  |        |   |          |  |  |
|  |                     |                                      |  | 11     | X | 37       |  |  |
|  |                     |                                      |  | 1m     |   | X        |  |  |
|  |                     |                                      |  |        |   |          |  |  |
| Sharing of paid employees with related organization(s)   |                     |                                      |  | 10     |   | X        |  |  |
|  |                     |                                      |  | _      |   | Х        |  |  |
| p Reimbursement paid to related organization(s) for expenses   |                     |                                      |  |        |   |          |  |  |
| q Reimbursement paid by related organization(s) for expenses   |                     |                                      |  | 1q     | X |          |  |  |
|  |                     |                                      |  |        |   | 37       |  |  |
| •  |                     |                                      |  | 1r     |   | X        |  |  |
|  |                     |                                      |  | 1s     |   | X        |  |  |
| 2 If the answer to any of the above is "Yes," see the instructions for information on w  | ho must complete th | nis line, including covered rel<br>I | ationships and transaction thresholds. |        |   |          |  |  |
| (a)<br>Name of related organization  | Transaction         |                                      |  | volved |   |          |  |  |
| 1) AISES PUBLISHING, INC.  | Q                   | 91,850.F                             | 'MV                                    |        |   |          |  |  |
| 2) AISES PUBLISHING, INC.  | L                   | 91,850.F                             | 'MV                                    |        |   |          |  |  |
| 3)   |                     |                                      |  |        |   |          |  |  |
| 4)   |                     |                                      |  |        |   |          |  |  |
| 5)   |                     |                                      |  |        |   |          |  |  |
| 6)   |                     |                                      |  |        |   |          |  |  |
|  |                     |                                      |  |        |   |          |  |  |

Schedule R (Form 990) 2020

Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

| (a)<br>Name, address, and EIN<br>of entity | (b)<br>Primary activity | (c) Legal domicile (state or foreign country) | (d) Predominant income (related, unrelated, excluded from tax under sections 512-514) | Are all partners sec 501(c)(3) orgs.? | (g)<br>Share of<br>end-of-year<br>assets | Dispretion allocat | opor-<br>late<br>tions? | Genera<br>manag<br>partn<br>Yes | (k) Al or Percentage ging ownership |
|--|-------------------------|---|---|---------------------------------------|--|--------------------|-------------------------|---------------------------------|-------------------------------------|
|  |                         |   |   |                                       |  |                    |                         |                                 |                                     |
|  |                         |   |   |                                       |  |                    |                         |                                 |                                     |
|  |                         |   |   |                                       |  |                    |                         |                                 |                                     |
|  |                         |   |   |                                       |  |                    |                         |                                 |                                     |
|  |                         |   |   |                                       |  |                    |                         |                                 |                                     |
|  |                         |   |   |                                       |  |                    |                         |                                 |                                     |
|  |                         |   |   |                                       |  |                    |                         |                                 |                                     |
|  |                         |   |   |                                       |  |                    |                         |                                 |                                     |
|  |                         |   |   |                                       |  |                    |                         |                                 | 000) 0000                           |

Schedule R (Form 990) 2020